



PRIVACY IMPACT ASSESSMENT (PIA)

For the

Standard Accounting and Reporting System (STARS)

Defense Finance and Accounting Service

SECTION 1: IS A PIA REQUIRED?

a. Will this Department of Defense (DoD) information system or electronic collection of information (referred to as an "electronic collection" for the purpose of this form) collect, maintain, use, and/or disseminate PII about members of the public, Federal personnel, contractors or foreign nationals employed at U.S. military facilities internationally? Choose one option from the choices below. (Choose (3) for foreign nationals).

- (1) Yes, from members of the general public.
- (2) Yes, from Federal personnel* and/or Federal contractors.
- (3) Yes, from both members of the general public and Federal personnel and/or Federal contractors.
- (4) No

* "Federal personnel" are referred to in the DoD IT Portfolio Repository (DITPR) as "Federal employees."

b. If "No," ensure that DITPR or the authoritative database that updates DITPR is annotated for the reason(s) why a PIA is not required. If the DoD information system or electronic collection is not in DITPR, ensure that the reason(s) are recorded in appropriate documentation.

c. If "Yes," then a PIA is required. Proceed to Section 2.

SECTION 2: PIA SUMMARY INFORMATION

a. Why is this PIA being created or updated? Choose one:

- New DoD Information System
- Existing DoD Information System
- Significantly Modified DoD Information System
- New Electronic Collection
- Existing Electronic Collection

b. Is this DoD information system registered in the DITPR or the DoD Secret Internet Protocol Router Network (SIPRNET) IT Registry?

- Yes, DITPR Enter DITPR System Identification Number
- Yes, SIPRNET Enter SIPRNET Identification Number
- No

c. Does this DoD information system have an IT investment Unique Project Identifier (UPI), required by section 53 of Office of Management and Budget (OMB) Circular A-11?

- Yes No
- If "Yes," enter UPI

If unsure, consult the Component IT Budget Point of Contact to obtain the UPI.

d. Does this DoD information system or electronic collection require a Privacy Act System of Records Notice (SORN)?

A Privacy Act SORN is required if the information system or electronic collection contains information about U.S. citizens or lawful permanent U.S. residents that is retrieved by name or other unique identifier. PIA and Privacy Act SORN information should be consistent.

- Yes No
- If "Yes," enter Privacy Act SORN Identifier

DoD Component-assigned designator, not the Federal Register number.
Consult the Component Privacy Office for additional information or
access DoD Privacy Act SORNs at: <http://www.defenselink.mil/privacy/notices/>

or

Date of submission for approval to Defense Privacy Office
Consult the Component Privacy Office for this date.

e. Does this DoD information system or electronic collection have an OMB Control Number?

Contact the Component Information Management Control Officer or DoD Clearance Officer for this information.

This number indicates OMB approval to collect data from 10 or more members of the public in a 12-month period regardless of form or format.

Yes

Enter OMB Control Number

Enter Expiration Date

No

f. Authority to collect information. A Federal law, Executive Order of the President (EO), or DoD requirement must authorize the collection and maintenance of a system of records.

(1) If this system has a Privacy Act SORN, the authorities in this PIA and the existing Privacy Act SORN should be the same.

(2) Cite the authority for this DoD information system or electronic collection to collect, use, maintain and/or disseminate PII. (If multiple authorities are cited, provide all that apply.)

(a) Whenever possible, cite the specific provisions of the statute and/or EO that authorizes the operation of the system and the collection of PII.

(b) If a specific statute or EO does not exist, determine if an indirect statutory authority can be cited. An indirect authority may be cited if the authority requires the operation or administration of a program, the execution of which will require the collection and maintenance of a system of records.

(c) DoD Components can use their general statutory grants of authority ("internal housekeeping") as the primary authority. The requirement, directive, or instruction implementing the statute within the DoD Component should be identified.

5 U.S.C. 301, Departmental Regulations; Department of Defense Financial Management Regulation (DoDFMR) 7000.14-R, Vol. 5; 31 U.S.C. Sections 3511, Prescribing accounting requirements and developing accounting systems; Section 3512, Executive agency accounting and other financial management reports and plans; 31 U.S.C. 3513, Finance Reporting and Accounting System; and E.O. 9397 (SSN), as amended.

g. Summary of DoD information system or electronic collection. Answers to these questions should be consistent with security guidelines for release of information to the public.

(1) Describe the purpose of this DoD information system or electronic collection and briefly describe the types of personal information about individuals collected in the system.

The Standard Accounting and Reporting System (STARS) is a general fund accounting, reporting and entitlement system. Navy Users record and account for Navy, Marine Corps, Air Force and Defense Agencies funds appropriated by Congress. The receipt and use of these funds are recorded from allocation to disbursement through structured successive steps. STARS currently supports many individual appropriations. STARS accounts for Navy - Departmental, Navy - Field, Marine Corps - Departmental, and Defense Agencies Field.

STARS consists of two sub-systems:

1. Field Level (STARS FL) - Navy Field Level Accounts Receivable and Accounts Maintenance
2. Headquarters (STARS HQ) - Navy Major Command (MAJCOM) Accounts Receivable and Accounts Maintenance
3. Departmental Report (STARS FDR/MCR) Departmental Level and Navy MAJCOM Level Financial Reporting. NOTE: STARS FDR/MCR was discontinued October 2008, but only listed as a reference since historical data can be accessed.

STARS FL and STARS HQ contain the names and Social Security Numbers (SSNs). The information is sent to these STARS systems through file transfers from other DFAS accounting and pay entitlement systems. STARS One Pay contains the names, SSNs, addresses and bank routing numbers for DFAS payees. This information is also obtained from file transfers from the accounting and pay entitlement systems.

TARS uses file transfers from the following systems to obtain the personal information from these systems to process accounting and pay entitlements: STARS FL, STARS HQ, Defense Civilian Payroll System (DCPS), One Pay, Defense Industrial Financial Management System (DIFMS), Defense Working Capital Fund Accounting System (DWAS, Standard Accounting Budgeting and Reporting System (SABRS), Standard Finance System (STANFINS) and General Accounting and Finance System - Re-engineered (GAFS-R).

(2) Briefly describe the privacy risks associated with the PII collected and how these risks are addressed to safeguard privacy.

No exceptional risks.

h. With whom will the PII be shared through data exchange, both within your DoD Component and outside your Component (e.g., other DoD Components, Federal Agencies)? Indicate all that apply.

Within the DoD Component.

Specify.

Information will be shared with the Department of the Navy. Information may be shared with law enforcement for investigative purposes.

Other DoD Components.

Specify.

Other Federal Agencies.

Specify.

State and Local Agencies.

Specify.

Contractor (Enter name and describe the language in the contract that safeguards PII.)

Specify.

Other (e.g., commercial providers, colleges).

Specify.

i. Do individuals have the opportunity to object to the collection of their PII?

Yes

No

(1) If "Yes," describe method by which individuals can object to the collection of PII.

Feeder system Defense Civilian Payroll System (DCPS) procedures provide individuals with the opportunity to object to the collection of the information. When personal information is collected for DCPS, either by hard copy or web-based applications, the individuals are provided a Privacy Act Statement that informs them that providing the information is strictly voluntary.

(2) If "No," state the reason why individuals cannot object.

j. Do individuals have the opportunity to consent to the specific uses of their PII?

Yes

No

(1) If "Yes," describe the method by which individuals can give or withhold their consent.

(2) If "No," state the reason why individuals cannot give or withhold their consent.

Information is stored in the system; no retrieval. The information is collected in STARS FL and STARS HQ solely to record obligations for labor costs.

k. What information is provided to an individual when asked to provide PII data? Indicate all that apply.

Privacy Act Statement

Privacy Advisory

Other

None

Describe each applicable format.

Defense Civilian Payroll System (DCPS) feeder system for STARS collects the personal information covered by the Privacy Act. When personal information is collected for use in DCPS, a Privacy Act Statement or Privacy Advisory is provided either on the form used to collect the data or on the web-based application used to collect the information.

NOTE:

Sections 1 and 2 above are to be posted to the Component's Web site. Posting of these Sections indicates that the PIA has been reviewed to ensure that appropriate safeguards are in place to protect privacy.

A Component may restrict the publication of Sections 1 and/or 2 if they contain information that would reveal sensitive information or raise security concerns.