



Defense Finance and Accounting Service

DFAS 7900.4-M

Financial Management Systems Requirements Manual

Volume 16, Personnel Benefits

August 2014

Strategy, Policy and Requirements

SUBJECT: Description of Requirement Changes

The complete listing and description of the requirements changes, deletions, and additions by chapters and systems requirements can be found below.

All changes in this volume are denoted by bold blue font.

VOLUME 16 - Personnel Benefits		
Req ID	Change Type and Description	Reason for Change
16.02.016	C - Authoritative Source/Reference Updated	
16.02.021	C - Authoritative Source/Reference Updated	
16.02.029	C - Authoritative Source/Reference Updated	
16.02.032	C - Authoritative Source/Reference Updated	
16.02.035	C - Authoritative Source/Reference Updated	
16.02.037	C - Authoritative Source/Reference Updated	
16.02.039	C - Authoritative Source/Reference Updated	
16.02.040	C - Authoritative Source/Reference Updated	
16.02.041	C - Authoritative Source/Reference Updated	
16.02.042	C - Authoritative Source/Reference Updated	
16.02.043	C - Authoritative Source/Reference Updated	
16.02.044	C - Authoritative Source/Reference Updated	

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Req ID	Change Type and Description	Reason for Change
16.02.045	C - Authoritative Source/Reference Updated	
16.02.046	C - Authoritative Source/Reference Updated	
16.02.047	C - Authoritative Source/Reference Updated	
16.02.048	C - Authoritative Source/Reference Updated	
16.02.050	C - Authoritative Source/Reference Updated	
16.02.054	D - Invalid Requirement	This function is performed by Disbursing.
16.02.055	C - Verbiage Edited for Clarity	Rewrote for clarity
16.02.057	C - Authoritative Source/Reference Updated	
16.02.058	C - Authoritative Source/Reference Updated	
16.02.059	C - Authoritative Source/Reference Updated	
16.02.060	C - Authoritative Source/Reference Updated	
16.02.061	C - Authoritative Source/Reference Updated	
16.02.062	C - Authoritative Source/Reference Updated	
16.02.065	C - Authoritative Source/Reference Updated	
16.02.068	C - Authoritative Source/Reference Updated	
16.02.070	D - Duplicate Requirement Deleted	Covered by 16.02.065 and 16.02.057
16.02.071	C - Authoritative Source/Reference Updated	
16.02.072	C - Authoritative Source/Reference Updated	
16.02.073	C - Authoritative Source/Reference Updated	
16.02.074	D - Duplicate Requirement Deleted	Covered by 16.02.060
16.02.075	C - Authoritative Source/Reference Updated	
16.02.079	C - Authoritative Source/Reference Updated	

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Req ID	Change Type and Description	Reason for Change
16.02.080	C - Authoritative Source/Reference Updated	
16.02.081	C - Authoritative Source/Reference Updated	
16.02.082	C - Authoritative Source/Reference Updated	
16.02.083	C - Authoritative Source/Reference Updated	
16.02.084	C - Authoritative Source/Reference Updated	
16.02.085	C - Authoritative Source/Reference Updated	
16.02.086	C - Authoritative Source/Reference Updated	Rewrote for clarity
16.02.087	C - Authoritative Source/Reference Updated	
16.02.088	C - Authoritative Source/Reference Updated	
16.02.089	C - Authoritative Source/Reference Updated	
16.02.090	C - Authoritative Source/Reference Updated	
16.02.091	C - Authoritative Source/Reference Updated	
16.02.092	D - Duplicate Requirement Deleted	Covered by 16.02.090
16.02.093	C - Authoritative Source/Reference Updated	
16.02.094	C - Authoritative Source/Reference Updated	
16.02.095	D - Authoritative Source/Reference Deleted	OMB Circular A-123 Appendix D has rendered the JFMIP and OFFM documents obsolete.
16.02.096	C - Authoritative Source/Reference Updated	
16.02.097	D - Duplicate Requirement Deleted	Covered by 16.02.090
16.02.098	C - Authoritative Source/Reference Updated	
16.02.099	C - Authoritative Source/Reference Updated	
16.02.100	C - Authoritative Source/Reference Updated	

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Req ID	Change Type and Description	Reason for Change
16.02.101	C - Authoritative Source/Reference Updated	
16.02.102	C - Authoritative Source/Reference Updated	
16.02.103	C - Authoritative Source/Reference Updated	
16.02.104	C - Authoritative Source/Reference Updated	
16.02.105	C - Authoritative Source/Reference Updated	
16.02.106	C - Authoritative Source/Reference Updated	
16.02.107	C - Authoritative Source/Reference Updated	Rewrote for clarity
16.02.108	C - Authoritative Source/Reference Updated	
16.02.109	C - Authoritative Source/Reference Updated	
16.02.110	C - Authoritative Source/Reference Updated	
16.02.111	C - Authoritative Source/Reference Updated	
16.02.112	C - Authoritative Source/Reference Updated	
16.02.113	C - Authoritative Source/Reference Updated	
16.02.114	C - Authoritative Source/Reference Updated	
16.02.115	C - Authoritative Source/Reference Updated	
16.02.116	C - Authoritative Source/Reference Updated	
16.02.117	C - Authoritative Source/Reference Updated	
16.02.118	C - Authoritative Source/Reference Updated	
16.02.119	C - Authoritative Source/Reference Updated	
16.02.120	C - Authoritative Source/Reference Updated	
16.02.121	C - Authoritative Source/Reference Updated	
16.02.122	C - Authoritative Source/Reference Updated	

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Req ID	Change Type and Description	Reason for Change
16.02.123	C - Authoritative Source/Reference Updated	
16.02.124	C - Authoritative Source/Reference Updated	
16.02.125	C - Authoritative Source/Reference Updated	
16.02.126	C - Authoritative Source/Reference Updated	
16.02.127	C - Authoritative Source/Reference Updated	
16.02.128	C - Authoritative Source/Reference Updated	
16.02.129	C - Authoritative Source/Reference Updated	
16.02.130	C - Authoritative Source/Reference Updated	
16.02.131	C - Authoritative Source/Reference Updated	
16.02.132	D - Duplicate Requirement Deleted	The capability is covered by 16.02.034
16.02.142	C - Authoritative Source/Reference Updated	
16.02.143	C - Authoritative Source/Reference Updated	
16.02.144	C - Authoritative Source/Reference Updated	
16.02.145	C - Authoritative Source/Reference Updated	
16.02.146	C - Authoritative Source/Reference Updated	
16.02.147	C - Authoritative Source/Reference Updated	
16.02.148	C - Authoritative Source/Reference Updated	
16.03.001	D - Authoritative Source/Reference Deleted	OMB Circular A-123 Appendix D has rendered the JFMIP and OFFM documents obsolete
16.03.002	D - Authoritative Source/Reference Deleted	OMB Circular A-123 Appendix D has rendered the JFMIP and OFFM documents obsolete
16.03.005	D - Authoritative Source/Reference Deleted	OMB Circular A-123 Appendix D has rendered the JFMIP and OFFM documents obsolete

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Req ID	Change Type and Description	Reason for Change
16.03.006	D - Authoritative Source/Reference Deleted	OMB Circular A-123 Appendix D has rendered the JFMIP and OFFM documents obsolete
16.03.017	D - Authoritative Source/Reference Deleted	OMB Circular A-123 Appendix D has rendered the JFMIP and OFFM documents obsolete
16.03.019	D - Authoritative Source/Reference Deleted	OMB Circular A-123 Appendix D has rendered the JFMIP and OFFM documents obsolete
16.03.022	D - Authoritative Source/Reference Deleted	OMB Circular A-123 Appendix D has rendered the JFMIP and OFFM documents obsolete
16.03.025	D - Authoritative Source/Reference Deleted	OMB Circular A-123 Appendix D has rendered the JFMIP and OFFM documents obsolete
16.03.032	D - Authoritative Source/Reference Deleted	OMB Circular A-123 Appendix D has rendered the JFMIP and OFFM documents obsolete
16.03.033	D - Authoritative Source/Reference Deleted	OMB Circular A-123 Appendix D has rendered the JFMIP and OFFM documents obsolete
16.03.034	D - Authoritative Source/Reference Deleted	OMB Circular A-123 Appendix D has rendered the JFMIP and OFFM documents obsolete
16.03.036	D - Authoritative Source/Reference Deleted	OMB Circular A-123 Appendix D has rendered the JFMIP and OFFM documents obsolete
16.03.037	D - Authoritative Source/Reference Deleted	OMB Circular A-123 Appendix D has rendered the JFMIP and OFFM documents obsolete
16.03.038	D - Authoritative Source/Reference Deleted	OMB Circular A-123 Appendix D has rendered the JFMIP and OFFM documents obsolete
16.03.040	D - Authoritative Source/Reference Deleted	OMB Circular A-123 Appendix D has rendered the JFMIP and OFFM documents obsolete
16.03.041	D - Authoritative Source/Reference Deleted	OMB Circular A-123 Appendix D has rendered the JFMIP and OFFM documents obsolete
16.03.042	D - Authoritative Source/Reference Deleted	OMB Circular A-123 Appendix D has rendered the JFMIP and OFFM documents obsolete
16.04.004	D - Authoritative Source/Reference Deleted	OMB Circular A-123 Appendix D has rendered the JFMIP and OFFM documents obsolete

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16.04.011	D - Authoritative Source/Reference Deleted	OMB Circular A-123 Appendix D has rendered the JFMIP and OFFM documents obsolete
16.04.037	D - Authoritative Source/Reference Deleted	OMB Circular A-123 Appendix D has rendered the JFMIP and OFFM documents obsolete
16.04.048	D - Authoritative Source/Reference Deleted	OMB Circular A-123 Appendix D has rendered the JFMIP and OFFM documents obsolete
16.04.049	D - Authoritative Source/Reference Deleted	OMB Circular A-123 Appendix D has rendered the JFMIP and OFFM documents obsolete
16.04.051	D - Authoritative Source/Reference Deleted	OMB Circular A-123 Appendix D has rendered the JFMIP and OFFM documents obsolete
16.04.052	D - Authoritative Source/Reference Deleted	OMB Circular A-123 Appendix D has rendered the JFMIP and OFFM documents obsolete
16.04.062	C - Authoritative Source/Reference Updated	
16.04.067	D - Authoritative Source/Reference Deleted	OMB Circular A-123 Appendix D has rendered the JFMIP and OFFM documents obsolete
16.04.070	D - Authoritative Source/Reference Deleted	OMB Circular A-123 Appendix D has rendered the JFMIP and OFFM documents obsolete
16.04.071	D - Authoritative Source/Reference Deleted	OMB Circular A-123 Appendix D has rendered the JFMIP and OFFM documents obsolete.
16.04.072	D - Authoritative Source/Reference Deleted	OMB Circular A-123 Appendix D has rendered the JFMIP and OFFM documents obsolete
16.04.074	D - Authoritative Source/Reference Deleted	OMB Circular A-123 Appendix D has rendered the JFMIP and OFFM documents obsolete
16.04.076	D - Authoritative Source/Reference Deleted	OMB Circular A-123 Appendix D has rendered the JFMIP and OFFM documents obsolete
16.05.001	C - Authoritative Source/Reference Updated	
16.06.001	D - Authoritative Source/Reference Deleted	OMB Circular A-123 Appendix D has rendered the JFMIP and OFFM documents obsolete
16.06.002	C - Authoritative Source/Reference Updated	

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Req ID	Change Type and Description	Reason for Change
16.07.002	D - Authoritative Source/Reference Deleted	OMB Circular A-123 Appendix D has rendered the JFMIP and OFFM documents obsolete
16.07.007	D - Authoritative Source/Reference Deleted	OMB Circular A-123 Appendix D has rendered the JFMIP and OFFM documents obsolete
16.08.001	C - Authoritative Source/Reference Updated	
16.08.002	C - Authoritative Source/Reference Updated	
16.08.003	C - Authoritative Source/Reference Updated	
16.08.004	C - Authoritative Source/Reference Updated	
16.08.005	C - Authoritative Source/Reference Updated	

Chart Legend:

A - Added

These are new requirements due to revised and updated source documents.

C - Changed

These requirements were contained in previous releases, but were changed for various reasons.

D - Deleted

These requirements were removed and are no longer required by the source documents.

Note: If there is no code in the requirements value added or change type columns, it is by definition unchanged.



Defense Finance and Accounting Service

DFAS 7900.4-M

Financial Management Systems Requirements Manual Volume 16, Personnel Benefits

August 2014

Strategy, Policy and Requirements

**SUBJECT: Financial Management Systems Requirements
Volume 16, Personnel Benefits**

1. PURPOSE

a. This Volume presents the financial management (FM) system design and implementation requirements for Department of Defense (DoD) military components' and agencies' financial management systems. This Volume is part of the publication which reissues Defense Finance and Accounting Service (DFAS) 7900.4-M, "Financial Management Systems Requirements Manual," commonly known as the "Blue Book." This manual is a compilation of the Federal and Department of Defense (DoD) systems requirements.

b. The Blue Book establishes a base line for the DoD FM system requirements (further "system requirements"). It serves as a "roadmap" of system requirements grouped into major financial management functional areas and referenced to authoritative sources of their origins. The Blue Book is designed to assist FM system managers in planning, designing, enhancing, modifying, and implementing financial management systems. Managers are ultimately responsible for being knowledgeable of and complying with the various authoritative sources of financial requirements (both legislative and regulatory). Guidance and procedures are contained in several volumes located on the DFAS Reference Library at <http://www.dfas.mil/dfasffmia/bluebook.html>. Appendix 4 of the manual includes links to where authoritative sources of requirements may be accessed.

c. Adherence to these requirements contained in this volume and other volumes of the DFAS 7900.4-M shall enable DoD military components and agencies to meet Federal mandates and shall help facilitate DFAS to progress toward reaching its strategic goal of providing services faster, better, and cheaper. The objective of this Volume is to promulgate the systems requirements for program managers' use in developing Personnel Benefits functionality.

2. APPLICABILITY:

Requirements contained within this document are applicable to Personnel Benefits systems and modules operated and maintained by DoD.

3. POLICY:

a. This Volume was created in support of DoD efforts to comply with mandates and provisions of Public Law 104-208, "Federal Financial Management Improvement Act of 1996" September 30, 1996 (FFMIA). The FFMIA provides the basis for the development and implementation of financial systems (to include mixed systems) that provide reliable financial management

information. The intent of this Act is to provide standard guidance for Federal Agencies to follow in developing usable systems that support federal manager responsibilities to:

1. Provide reliable and timely financial information for managing current operations, Prepare financial statements and other required financial and budget reports, and
2. Account for their assets reliably, in order to protect them from loss, misappropriation, or destruction

b. This Volume incorporates revisions to existing financial system requirements from the authoritative sources **via highlighting the appropriate text in bolded blue font**. See description of requirement changes for a complete listing and description of the financial systems requirements changes, deletions, and additions, for this volume.

c. Users of this Volume may forward questions, comments and suggested changes to:

Defense Finance and Accounting Service
Strategy, Policy and Requirements
Attn: Financial Management Systems and Requirements Branch
8899 East 56th Street
Indianapolis, IN 46249-0002

E-mail questions, comments, and suggestions to ffmiarequirements@dfas.mil

4. ORGANIZATIONAL RESPONSIBILITIES FOR FFMIA COMPLIANCE

a. Within DoD, various organizations operate financial management systems. In an overall scheme, many of these systems should be linked in an integrated network. However, these systems frequently “stand-alone” or are “stovepipe” systems that are not logically integrated with other related systems. Critical financial data, instead of being transferred electronically between systems through well-controlled interfaces, are often transferred manually by means of journal vouchers, hardcopy spreadsheets, “data calls,” or other inefficient and error-prone methods.

b. DFAS functions as the primary Departmental entity responsible for producing a Trial Balance from the ERP general ledger and preparing the financial reports for the DoD. Much of the data used by DFAS to carry out the DoD’s accounting and financial reporting functions—such as property and inventory values—originate in non-DFAS organizations or systems. Therefore, other organizations, primarily the military services and Defense Agencies, should be held responsible for the compliance of their financial management systems with all applicable Federal requirements. Appendix 5 of DFAS 7900.4-M contains Federal and DoD guidance on FFMIA compliance, evaluation, and reporting.

5. PROCEDURES:

Procedures are presented in this Volume of DFAS 7900.4-M as appropriate.

6. RELEASABILITY:

UNLIMITED. This Volume as part of DFAS 7900.4-M is approved for public release and may be accessed at <http://www.dfas.mil/dfasffmia.html>

7. EFFECTIVE DATE: This Volume is effective immediately.

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PERSONNEL BENEFITS INTRODUCTION

1. Although DoD has been reducing the magnitude of its operations and the size of its workforce, it still employs over a half of a million civilians. The Department's personnel (civilian and military) costs and benefits total tens of millions of dollars annually and represent a significant portion of the Department's operating expenses.

2. The term "benefit" has been widely used in reference to Federal programs. Use of the term has encompassed programs such as food stamps, Medicare, Veterans Health care, unemployment benefits and others. In fact, there is no steadfast universal definition of a Federal benefit program. However, following the FSIO (Financial Systems Integration Office), for purposes of this document, a Federal benefit program excludes health care related programs, such as Medicare, Veterans Health, Tricare, and others whose primary functions involve reimbursement for services provided and providing direct care. There are five main categories that comprise Federal benefit programs, they are: retirement, disability, death, survivor, and other. These benefit program generally include all or most of the following:

- The program is classified as "mandatory" rather than discretionary under the Budget Enforcement Act classification:
- The Federal Government owns and operates the systems used in daily operations;
- The majority of monetary payments are provided directly to individuals or a designee as opposed to reimbursement to service providers;
- The Federal Government determines the exact amount of the benefit payment;
- A "fixed amount" recurring payment is frequently involved.

PERSONNEL BENEFITS REQUIREMENTS

DFAS 7900.4-M, Vol. 16

Chapter	Req Id	Change Type	Requirement	Sources	Treasury's Federal Financial Management Systems Function Guidance (See Appendix A-5)	Treasury's Federal Financial Management Systems Requirement (See Appendix A-5)	DFMIG Rule Name
Claims Acceptance and Tracking	16.01.001		<p>The benefit system must capture all applicable mandatory data elements (i.e., universal and appropriate categorical), which include: 1) Name and address of claim holder (person on whose record benefit is earned/due); 2) SSN of claim holder; 3) Birth date of the claim holder; 4) Date of initial claim; 5) Claimant information, if other than claim holder, including: a)name, address and SSN, and birth date; b)the claimant's relationship to claim holder, when different from the claim holder (e.g., self, spouse, child, dependent parent); 6) Name and relationship of a representative payee, including estate executors or legal guardians, when applicable; 7) The specific benefit(s) for which the claim is made; 8) Claimant address; 9) Bank information for direct deposit, if applicable including American Bank Association routing number, claimant bank account number, and account type. 10) Type of evidence/proofs required and submitted when applicable. 11) Status of the claim (e.g. approved, pending, denied). 12) For benefit systems relating to taxable programs, information needed to determine withholding amount such as: withholding status (e.g., married, single, or exempt); tax number of withholding allowances, back-up withholding, and additional voluntary amount of tax withholding.</p>	<p>Source: JFMIPSR-01-01,pg42; Source Date: 9/1/2001 Source: JFMIPSR-01-01,pg14; Source Date: 9/1/2001</p>	<p>Requirement Not Covered by TFM Guidance</p>	<p>Benefit_Claim_Acceptance_And_Tracking_001</p>	

PERSONNEL BENEFITS REQUIREMENTS

DFAS 7900.4-M, Vol. 16

Chapter	Req Id	Change Type	Requirement	Sources	Treasury's Federal Financial Management Systems Function Guidance (See Appendix A-5)	Treasury's Federal Financial Management Systems Requirement (See Appendix A-5)	DFMIG Rule Name
Claims Acceptance and Tracking	16.01.002		The benefit system must maintain all of the data elements specified in the 'Application Information Stores' (reference listing in 16.01.01) so Information is readily accessible through standard online queries or reports, until the claim is approved and a master record is established, or until a final determination is made denying the claim.	Source: JFMIPSR-01-01,pg14; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefit_Claim_Acceptance_And_Tracking_002
Claims Acceptance and Tracking	16.01.003		The benefit system must provide for electronic acceptance of benefit applications by fiscal year 2003. If an agency anticipates receipt by electronic means of 50,000 or more submittals of a particular form, the system must allow multiple methods of electronic signatures for the submittal of such form, whenever feasible and appropriate. The system must provide for the electronic acknowledgement of electronic forms that are successfully submitted.	Source: JFMIPSR-01-01,pg14; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefit_Claim_Acceptance_And_Tracking_003
Claims Acceptance and Tracking	16.01.004		The benefit system must capture various correspondence, including due process requests submitted by various electronic mediums and automatically associate the correspondence with the specific claim for the required action. For manually submitted correspondence, provide for associating the correspondence with the specific application or existing claimant master record for the required action.	Source: JFMIPSR-01-01,pg14; Source Date: 9/1/2001 Source: JFMIPSR-01-01,pg35,36; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefit_Claim_Acceptance_And_Tracking_004

PERSONNEL BENEFITS REQUIREMENTS

DFAS 7900.4-M, Vol. 16

Chapter	Req Id	Change Type	Requirement	Sources	Treasury's Federal Financial Management Systems Function Guidance (See Appendix A-5)	Treasury's Federal Financial Management Systems Requirement (See Appendix A-5)	DFMIG Rule Name
Claims Acceptance and Tracking	16.01.005		The benefit system must incorporate input and completeness controls to ensure only complete applications are accepted by the system when electronic methods (e.g., web based, telecommunications) are used to facilitate claim form acceptance.	Source: JFMIPSR-01-01,pg14; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefit_Claim_Acceptance_And_Tracking_005
Claims Acceptance and Tracking	16.01.006		The benefit system must provide the capability to flag a claimant's paper based application as incomplete and to suspend further processing of the application until it is properly completed as specified by program requirements.	Source: JFMIPSR-01-01,pg14; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefit_Claim_Acceptance_And_Tracking_006
Claims Acceptance and Tracking	16.01.007		The benefit system must store incomplete applications in accordance with agency policies, and provide for automatic purging of incomplete applications after a time period specified by the agency.	Source: JFMIPSR-01-01,pg14; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefit_Claim_Acceptance_And_Tracking_007
Claims Acceptance and Tracking	16.01.008		For each claim, the benefit system must associate a unique identifier (e.g., initials or user code) of the employee assigned to review the claim.	Source: JFMIPSR-01-01,pg14; Source Date: 9/1/2001; Source: JFMIPSR-01-01,pg15; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefit_Claim_Acceptance_And_Tracking_008
Claims Acceptance and Tracking	16.01.009		The benefit system must utilize an alternative application procedure that accommodates persons with disabilities (e.g., blind, missing limbs).	Source: JFMIPSR-01-01,pg14; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefit_Claim_Acceptance_And_Tracking_009
Claims Acceptance and Tracking	16.01.010		The benefit system must provide the capability to track the status of due process or other appeal proceedings when applicable, after an initial claim has been denied.	Source: JFMIPSR-01-01,pg14; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefit_Claim_Acceptance_And_Tracking_010

PERSONNEL BENEFITS REQUIREMENTS

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Chapter	Req Id	Change Type	Requirement	Sources	Treasury's Federal Financial Management Systems Function Guidance (See Appendix A-5)	Treasury's Federal Financial Management Systems Requirement (See Appendix A-5)	DFMIG Rule Name
Claims Acceptance and Tracking	16.01.011		The benefit system must maintain a system record of pending claims and the status of other information including: a) where in the process an ongoing claim is located; b) who is holding claim; c) what actions are needed to complete the claim; d) whether additional information is needed; e) whether accommodation of explanation codes to indicate the reason why the claim is pending;	Source: JFMIPSR-01-01,pg15; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefit_Claim_Acceptance_And_Tracking_011
Claims Acceptance and Tracking	16.01.012		The benefit system must allow the user to update the status of the following items: a) where in the process an ongoing claim is located; b) who is holding claim; c) what actions are needed to complete the claim; d) whether additional information is needed; e) whether accommodation of explanation codes to indicate the reason why the claim is pending;	Source: JFMIPSR-01-01,pg15; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefit_Claim_Acceptance_And_Tracking_012
Claims Processing	16.02.001		The benefit system must utilize claimant data that has been submitted electronically in an automated fashion without manual intervention (i.e., avoid re-entering data from one component to another).	Source: JFMIPSR-01-01,pg15; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefit_Claim_Processing_001
Claims Processing	16.02.002		The benefit system must have the ability to date and time stamp due process requests and other time sensitive correspondence (incoming and outgoing) that has been received/sent through electronic mediums.	Source: JFMIPSR-01-01,pg15; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefit_Claim_Processing_002

PERSONNEL BENEFITS REQUIREMENTS

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Chapter	Req Id	Change Type	Requirement	Sources	Treasury's Federal Financial Management Systems Function Guidance (See Appendix A-5)	Treasury's Federal Financial Management Systems Requirement (See Appendix A-5)	DFMIG Rule Name
Claims Processing	16.02.003		The benefit system must accommodate automated case workload distribution by providing for various claim routing options as determined and maintained by the system administrator. For example, provide for the ability to route claims for manual validation.	Source: JFMIPSR-01-01,pg15; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefit_Claim_Processing_003
Claims Processing	16.02.004		The benefit system must indicate if the benefit payment calculation or verification thereof occurred manually.	Source: JFMIPSR-01-01,pg15; Source Date: 9/1/2001	FFMIA Financial Management Goal_2.3	Minimize waste, loss, unauthorized use, or misappropriation of Federal funds, property and other assets within resources available.	Benefit_Claim_Processing_004
Claims Processing	16.02.005		The benefit system must provide the user the ability to update a claimant's master record with the results of a manual claim validation or manual verification of the benefit payment amount, or create a new master record if none exists.	Source: JFMIPSR-01-01,pg15; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefit_Claim_Processing_005
Claims Processing	16.02.006		The benefit system must have the ability to capture what information is needed from the claimant in order to complete an incomplete claim.	Source: JFMIPSR-01-01,pg15; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefit_Claim_Processing_006
Claims Processing	16.02.007		The benefit system must provide for electronic approvals of claims at all levels of the approval process, whenever feasible.	Source: JFMIPSR-01-01,pg15; Source Date: 9/1/2001	FFMIA Financial Management Goal_2.3	Minimize waste, loss, unauthorized use, or misappropriation of Federal funds, property and other assets within resources available.	Benefit_Claim_Processing_007

PERSONNEL BENEFITS REQUIREMENTS

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Chapter	Req Id	Change Type	Requirement	Sources	Treasury's Federal Financial Management Systems Function Guidance (See Appendix A-5)	Treasury's Federal Financial Management Systems Requirement (See Appendix A-5)	DFMIG Rule Name
Claims Processing	16.02.008		The benefit system must incorporate a notification mechanism that informs users (claim evaluators and supervisors) when an application(s) has not completed processing within a time frame that can be specified by the agency.	Source: JFMIPSR-01-01,pg15; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefit_Claim_Processing_008
Claims Processing	16.02.009		The benefit system must allow the user to update the status of pending claims and other information.	Source: JFMIPSR-01-01,pg15; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefit_Claim_Processing_009
Claims Processing	16.02.010		The benefit system must facilitate user queries on individual claims. Specifically, for all claims that have been received and recorded in the system, the system must be able to retrieve data maintained in the application information information stores by querying the claim holders' (or claimant if different) information. For example, data must be retrievable by query on full name, and Social Security Number (SSN) or taxpayer ID number.	Source: JFMIPSR-01-01,pg16; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefit_Claim_Processing_010
Claims Processing	16.02.011		For denied claims, the benefit system must accommodate explanation codes to indicate the reason why the claim has been denied.	Source: JFMIPSR-01-01,pg16; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefit_Claim_Processing_021

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Claims Processing	16.02.012		<p>The benefit system must automatically create a claimant master record using the claimant information for initial claims that are approved, when a master record for the claimant did not previously exist. The master record must contain all of the mandatory data elements as specified in the Claimant Master Record Information Store: a) All applicable mandatory data elements (Universal and appropriate categorical) specified within the application information data stores; b) General information such as: case status, benefit type, chronology of key dates; c) Award data such as: total award, gross and or net monthly payment as appropriate; d) Payment history such as: prior disbursements, collections including principal and interest, accruals; • special Collection Activity Data such as: delinquent debt collection activity and status.</p>	<p>Source: JFMIPSR-01-01,pg16; Source Date: 9/1/2001 Source: JFMIPSR-01-01,pg46; Source Date: 9/1/2001</p>	<p>Requirement Not Covered by TFM Guidance</p>		<p>Benefit_Claim_Processing_032</p>
Claims Processing	16.02.013		<p>The benefit system must automatically update an existing claimant master record (i.e., claimant already receives a different type of benefit administered by the agency) to reflect the status (pending, approved, or rejected) of additional claims for other benefits the agency administers that are sought by the claimant.</p>	<p>Source: JFMIPSR-01-01,pg16; Source Date: 9/1/2001</p>	<p>Requirement Not Covered by TFM Guidance</p>		<p>Benefit_Claim_Processing_043</p>

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Claims Processing	16.02.014		The benefit system must automatically merge the mandatory claimant data maintained in the application information stores into the appropriate master record after a claim has been approved and a claimant master record has been established.	Source: JFMIPSR-01-01,pg16; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefit_Claim_Processing_054
Claims Processing	16.02.015		The benefit system must provide the capability to generate statistics on approved and rejected claim applications.	Source: JFMIPSR-01-01,pg16; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefit_Claim_Processing_064
Claims Processing	16.02.016	C - Authoritative Source/Reference Updated	The benefit system must provide the capability to accept, identify, track, and report manual overrides of system-generated acceptance/rejection recommendations.	Source: NISTSP800-53,AppF; Source Date: 5/1/2010	2.4.1.1_Securing Financial Management System Information_P	Provide and monitor Federal information system security controls consistent with information system category (low, moderate, high), impact on security objectives (confidentiality, integrity, availability), and minimum security requirements (for example, access control, configuration management, and incident response) as specified by National Institute of Standards and Technology and consistent with OMB Circular No. A-130.	Benefit_Claim_Processing_065
Claims Processing	16.02.017		The benefit system must allow a user who has the proper access to reverse or affirm an initial decision regarding the denial of a benefit, and process the claim accordingly.	Source: JFMIPSR-01-01,pg16; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefit_Claim_Processing_066

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Claims Processing	16.02.018		The benefit system must provide the capability to review all prior employee notations or decisions made while processing a benefit claim.	Source: JFMIPSR-01-01,pg16; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefit_Claim_Processing_067
Claims Processing	16.02.019		The benefit system must provide the capability to record an estimated completion date for pending claims.	Source: JFMIPSR-01-01,pg16; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefit_Claim_Processing_068
Claims Processing	16.02.020		The benefit system must have the ability, where multiple claims exist for the same claimant, to process the claims sequentially based on order of precedence.	Source: JFMIPSR-01-01,pg16; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefit_Claim_Processing_069
Claims Processing	16.02.021	C - Authoritative Source/Reference Updated	The benefit system must have the ability to automatically compare information contained in application(s) that have been submitted by claimants against agency program eligibility criteria (i.e., screen applications for eligibility).	Source: NISTSP800-53,AppF; Source Date: 5/1/2010	Requirement Not Covered by TFM Guidance		Benefit_Claim_Processing_070
Claims Processing	16.02.022		The benefit system must have the ability to accept the manual validation of a claim.	Source: JFMIPSR-01-01,pg16; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefit_Claim_Processing_071
Claims Processing	16.02.023		The benefit system must provide for maintaining separate eligibility criteria and processing routines by type of claim.	Source: JFMIPSR-01-01,pg16; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefit_Claim_Processing_072

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Claims Processing	16.02.024		The benefit system must provide for establishment of various age limitations depending on the type of benefit for automatic verification of program eligibility. For example, the system should allow for age attainment verification (e.g., generally a claimant must be at least 60 years of age to be eligible for Social Security Administration (SSA) retirement benefits).	Source: JFMIPSR-01-01,pg16; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefit_Claim_Processing_073
Claims Processing	16.02.025		The benefit system must provide the ability to cross-validate applicant information against data maintained for other benefit payment programs which are internal to the administering agency to ensure compliance with all program requirements (e.g., ensure that applicant has not filed for multiple conflicting benefits).	Source: JFMIPSR-01-01,pg17; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefit_Claim_Processing_074
Claims Processing	16.02.026		The benefit system must provide features to indicate that the applicant submitted required evidence. For example, allow user to record receipt of a school certification pertaining to a claimant or his/her dependent child.	Source: JFMIPSR-01-01,pg17; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefit_Claim_Processing_075
Claims Processing	16.02.027		The benefit system must provide features to create and maintain a system record of accepted and rejected claim applications.	Source: JFMIPSR-01-01,pg16; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefit_Claim_Processing_076

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Claims Processing	16.02.028		The benefit system must provide tools to check the appropriate data files to determine whether the applicant has submitted a duplicate application or had a recent benefit application rejected.	Source: JFMIPSR-01-01,pg17; Source Date: 9/1/2001	FFMIA Financial Management Goal_2.3	Minimize waste, loss, unauthorized use, or misappropriation of Federal funds, property and other assets within resources available.	Benefit_Claim_Processing_077
Claims Processing	16.02.029	C - Authoritative Source/Reference Updated	The benefit system must provide features to determine whether payments being made to the claimant in relation to other internal benefit payment programs affect the claimant's eligibility or payment amount for the benefit under consideration, whenever legally permitted (i.e., permitted by statute or regulation).	Source: JFMIPSR-01-01,pg17; Source Date: 9/1/2001Source: DoDFMRVol8; Source Date: 3/1/2013	FFMIA Financial Management Goal_2.3	Minimize waste, loss, unauthorized use, or misappropriation of Federal funds, property and other assets within resources available.	Benefit_Claim_Processing_078
Claims Processing	16.02.030		The benefit system must allow the user to put the processing of a claim on hold (suspend) while verifying questionable information, and to release hold (suspended) status as appropriate.	Source: JFMIPSR-01-01,pg17; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefit_Claim_Processing_079
Claims Processing	16.02.031		The benefit system must provide ability to inform applicant of potential eligibility for other internal benefit payment programs.	Source: JFMIPSR-01-01,pg17; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefit_Claim_Processing_080
Claims Processing	16.02.032	C - Authoritative Source/Reference Updated	The benefit system must have the ability to automatically validate survivor applicant information against information about the deceased or former spouse or related former guardian that is maintained in a master record database.	Source: JFMIPSR-01-01,pg17; Source Date: 9/1/2001Source: 5CFR,ChI,SubchB,Pt831; Source Date: 8/1/2012	Requirement Not Covered by TFM Guidance		Benefit_Claim_Processing_081

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Claims Processing	16.02.033		The benefit system must automatically validate dates of employment, earnings and other claimant-specific data provided on the application for benefits against the work history and earnings information reported to central agencies such as the Internal Revenue Service (IRS), Social Security Administration (SSA), and Office of Personnel Management (OPM) when permitted by statute, or against other verified claimant-specific information maintained by the agency.	Source: JFMIPSR-01-01,pg17; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefit_Claim_Processing_082
Claims Processing	16.02.034		The benefit system must have the ability to determine if the effective date of the claim has been reached (e.g., the effective date of a retirement claim), and initiate subsequent processing.	Source: JFMIPSR-01-01,pg17; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefit_Claim_Processing_083
Claims Processing	16.02.035	C - Authoritative Source/Reference Updated	The benefit system must provide features to compute the amount of both recurring and non-recurring benefit payments based on all available information (e.g., applicant data, and specific benefit program criteria).	Source: 5CFR,ChVI,Pt1651; Source Date: 8/1/2012Source: 5CFR,ChI,SubchB,Pt8 31; Source Date: 8/1/2012Source: DoDFMRVol8,Ch1,Su b0103; Source Date: 5/1/2013	1.1.4.2_Managing Financial Liability Information_P	Determine liability value consistent with the FASAB Handbook.	Benefit_Claim_Processing_084
Claims Processing	16.02.036		The benefit system must determine the ending date for recurring payments of limited duration.	Source: JFMIPSR-01-01,pg17; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefit_Claim_Processing_085

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Claims Processing	16.02.037	C - Authoritative Source/Reference Updated	The benefit system when processing an approved claim for benefits such as retirement, disability, death, survivor, and other claims, calculate the amount of recurring payment, or capture the amount of the claimant's recurring payment that has been determined externally.	Source: 5CFR,ChI,SubchB,Pt831; Source Date: 8/1/2012Source: 5CFR,ChVI,Pt1651; Source Date: 8/1/2012Source: 5CFR,ChI,SubchB,Pt844; Source Date: 8/1/2012Source: 5CFR,ChI,SubchB,Pt838; Source Date: 8/1/2012Source: 5CFR,ChI,SubchB,Pt843; Source Date: 8/1/2012	1.1.4.2_Managing Financial Liability Information_P	Determine liability value consistent with the FASAB Handbook.	Benefit_Claim_Processing_086
Claims Processing	16.02.038		The benefit system must provide flexibility in maintaining pay rates. For example, use tables that are easily maintained by the user.	Source: JFMIPSR-01-01,pg17; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefit_Claim_Processing_087
Claims Processing	16.02.039	C - Authoritative Source/Reference Updated	The benefit system must provide for adjusting the amount of payment when the claimant is receiving offsetting monetary amounts. For example, military retired pay is offset on a dollar for dollar basis against veterans compensation payments, or Social Security benefits are offset at a predetermined ratio for every dollar earned by claimants above the established threshold amount.	Source: 5CFR,ChI,SubchB,Pt831; Source Date: 8/1/2012Source: 5CFR,ChI,SubchB,Pt842; Source Date: 8/1/2012	1.1.4.2_Managing Financial Liability Information_P	Determine liability value consistent with the FASAB Handbook.	Benefit_Claim_Processing_088

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Claims Processing	16.02.040	C - Authoritative Source/Reference Updated	The benefit system must identify whether the claimant retired under provisions of voluntary separation incentive and capture the amount of the incentive, for Federal and Uniformed Service retirement systems.	Source: 5CFR,ChI,SubchB,Pt8 31; Source Date: 8/1/2012 Source: 5CFR,ChI,SubchB,Pt5 76; Source Date: 8/1/2012	1.1.4.2_Managing Financial Liability Information_P	Determine liability value consistent with the FASAB Handbook.	Benefit_Claim_Processing_089
Claims Processing	16.02.041	C - Authoritative Source/Reference Updated	The benefit system must compute the base amount of retirement pay by determining the 'highest paid 36 months (or other number as required by law, regulation or statute) of paid service, for Federal and Uniformed Service retirement systems.	Source: 5CFR,ChI,SubchB,Pt8 31; Source Date: 8/1/2012	1.1.4.2_Managing Financial Liability Information_P	Determine liability value consistent with the FASAB Handbook.	Benefit_Claim_Processing_090
Claims Processing	16.02.042	C - Authoritative Source/Reference Updated	The benefit system must determine the creditable years of service based on dates of employment or active military service.	Source: 5CFR,ChI,SubchB,Pt8 41; Source Date: 8/1/2012	1.1.4.2_Managing Financial Liability Information_P	Determine liability value consistent with the FASAB Handbook.	Benefit_Claim_Processing_091
Claims Processing	16.02.043	C - Authoritative Source/Reference Updated	The benefit system must provide features to calculate the claimant's and/or related dependent's age based on the birth date provided in an application or other verified source for subsequent use in determining claimant eligibility.	Source: 5CFR,ChI,SubchB,Pt8 42; Source Date: 8/1/2012	1.1.4.2_Managing Financial Liability Information_P	Determine liability value consistent with the FASAB Handbook.	Benefit_Claim_Processing_092

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Claims Processing	16.02.044	C - Authoritative Source/Reference Updated	The benefit system must provide for user defined reasonableness/tolerable limit checks on the amounts of benefit payments that are calculated by the system.	Source: 5CFR,ChI,SubchB,Pt8 31; Source Date: 8/1/2012Source: 5CFR,ChI,SubchB,Pt8 43; Source Date: 8/1/2012Source: 5CFR,ChI,SubchB,Pt8 44; Source Date: 8/1/2012	1.1.4.2_Managing Financial Liability Information_P	Determine liability value consistent with the FASAB Handbook.	Benefit_Claim_Processing_093
Claims Processing	16.02.045	C - Authoritative Source/Reference Updated	The benefit system must flag or suspend processing of a claim that fails reasonableness edits to facilitate manual verification of the amount computed.	Source: 5CFR,ChI,SubchB,Pt8 31; Source Date: 8/1/2012Source: 5CFR,ChI,SubchB,Pt8 43; Source Date: 8/1/2012Source: 5CFR,ChI,SubchB,Pt8 44; Source Date: 8/1/2012	1.1.4.2_Managing Financial Liability Information_P	Determine liability value consistent with the FASAB Handbook.	Benefit_Claim_Processing_094
Claims Processing	16.02.046	C - Authoritative Source/Reference Updated	The benefit system must enable approved users to have the ability to resume the processing of a claim that was suspended for failing a reasonableness edit, and subsequently verified as accurate.	Source: 5CFR,ChI,SubchB,Pt8 43; Source Date: 8/1/2012Source: 5CFR,ChI,SubchB,Pt8 44; Source Date: 8/1/2012Source: 5CFR,ChI,SubchB,Pt8 31; Source Date: 8/1/2012	1.1.4.2_Managing Financial Liability Information_P	Determine liability value consistent with the FASAB Handbook.	Benefit_Claim_Processing_095

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Claims Processing	16.02.047	C - Authoritative Source/Reference Updated	The benefit system must allow a user to initiate the calculation of a claimant's benefit amount, at any time, based on claimant data that exists when the request is made.	Source: 5CFR,ChI,SubchB,Pt8 43; Source Date: 8/1/2012 Source: 5CFR,ChI,SubchB,Pt8 44; Source Date: 8/1/2012	1.1.4.2_Managing Financial Liability Information_P	Determine liability value consistent with the FASAB Handbook.	Benefit_Claim_Processing_096
Claims Processing	16.02.048	C - Authoritative Source/Reference Updated	The benefit system must have the ability to record the amount of manually computed benefit payments.	Source: 5CFR,ChI,SubchB,Pt8 43; Source Date: 8/1/2012 Source: 5CFR,ChI,SubchB,Pt8 44; Source Date: 8/1/2012	1.1.4.2_Managing Financial Liability Information_P	Determine liability value consistent with the FASAB Handbook.	Benefit_Claim_Processing_097
Claims Processing	16.02.049		The benefit system must provide for automatic system initiated calculation and or recalculation of benefit amount by claim type, based on a specific user defined event such as claim validation and approval, court order, claimant initiated withholding change, etc.	Source: JFMIPSR-01-01,pg18; Source Date: 9/1/2001	1.1.4.1_Managing Financial Liability Information_DI	Capture Federal Government liability type (for example, covered/not covered by budgetary resources, governmental, and intragovernmental), category (for example, accounts payable, and interest payable and other current liabilities), and subcategory (for example, accrued employees' wages and entitlement benefits) consistent with the FASAB Handbook.	Benefit_Claim_Processing_098

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Claims Processing	16.02.050	C - Authoritative Source/Reference Updated	The benefit system must provide features to calculate and send overpayment information (date of occurrence, claimant name, and overpayment amount) to Receivables/Collections subsidiary ledger.	Source: 5CFR,ChI,SubchB,Pt8 45; Source Date: 8/1/2012	2.2.4.2_Establishing Receivables_P	Determine receivable amount, including penalty and interest, and other receivable information consistent with OMB Circular No. A-129, the FASAB Handbook, and the CFR.	Benefit_Claim_Processing_099
Claims Processing	16.02.051		The benefit system must provide for automated routines that access claimant master record data to facilitate calculating the amount of benefits due claimants.	Source: JFMIPSR-01-01,pg18; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefit_Claim_Processing_100
Claims Processing	16.02.052		The benefit system must, at a minimum, accumulate actual payments for each payee annually.	Source: JFMIPSR-01-01,pg19; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefit_Claim_Processing_101
Claims Processing	16.02.053		The benefit system must support the benefit payment execution process by reporting all disbursements made under the delegation in the agency's payment accounting reports to the Financial Management Service (FMS), using the appropriate agency accounting codes, as authorized by FMS.	Source: JFMIPSR-01-01,pg23,24; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefit_Claim_Processing_102
Claims Processing	16.02.054	D - Invalid Requirement	DELETED: The benefit system must support the benefit payment execution process by submitting check issuance data in a timely and accurate manner to FMS no later than the close of business of the week in which the issue dates occur and at month's end.	Source: ; Source Date:	Requirement Not Covered by TFM Guidance		Benefit_Claim_Processing_103

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Claims Processing	16.02.055	C - Verbiage Edited for Clarity	The benefit system must include a payee's taxpayer identifying number on the certified ready to pay file submitted to the agency's disbursing system for processing.	Source: 31USC,SubtIII,Ch33, SubchII,Sec3325; Source Date: 1/1/2012	2.2.2.3_Making Payments_DO	Provide certified payment transaction information for the disbursing office to make disbursements as specified in the TFM.	Benefit_Claim_Processing_104
Claims Processing	16.02.056		The benefit system must support the benefit payment execution process by complying with all applicable Electronic Funds Transfer (EFT) system requirements including those related to message authentication and enhanced security, unless explicitly waived in writing by the the Chief Disbursing Officer (CDO).	Source: JFMIPSR-01-01,pg23,24; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefit_Claim_Processing_105
Claims Processing	16.02.057	C - Authoritative Source/Reference Updated	The benefit system must support the benefit payment execution process by automatically identifying and selecting payments to be disbursed in a particular payment cycle based on their due dates.	Source: TFMVol1,Pt4A,Ch4000,Sec4025; Source Date: 12/1/2012	2.2.2.3_Making Payments_DO	Provide certified payment transaction information for the disbursing office to make disbursements as specified in the TFM.	Benefit_Claim_Processing_106
Claims Processing	16.02.058	C - Authoritative Source/Reference Updated	The benefit system must support the benefit payment execution process by allowing changes to payment schedules by authorized staff prior to acceptance by the disbursing office.	Source: TFMVol1,Pt4A,Ch2000,Sec2070; Source Date: 12/1/2012	2.2.2.3_Making Payments_DO	Provide certified payment transaction information for the disbursing office to make disbursements as specified in the TFM.	Benefit_Claim_Processing_107
Claims Processing	16.02.059	C - Authoritative Source/Reference Updated	The benefit system must support the benefit payment execution process by allowing specific payments selected for disbursement to be excluded from the payment cycle and held for later payment.	Source: TFMVol1,Pt4A,Ch2000,Sec2070; Source Date: 12/1/2012	2.2.2.3_Making Payments_DO	Provide certified payment transaction information for the disbursing office to make disbursements as specified in the TFM.	Benefit_Claim_Processing_108

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Claims Processing	16.02.060	C - Authoritative Source/Reference Updated	The benefit system must support the benefit payment execution process by automatically computing net amounts to be disbursed, after taking into account withholdings, interest, garnishments, or other offsets as needed, in accordance with applicable regulations.	Source: 5CFR,ChI,SubchB,Pt8 38; Source Date: 8/1/2012	1.1.4.2_Managing Financial Liability Information_P	Determine liability value consistent with the FASAB Handbook.	Benefit_Claim_Processing_109
Claims Processing	16.02.061	C - Authoritative Source/Reference Updated	The benefit system must support the benefit payment execution process by automatically determining taxable benefit payments from non-taxable payments.	Source: DoDFMRVol8,Ch9,Su b0901; Source Date: 8/1/2011	1.1.4.1_Managing Financial Liability Information_DI	Capture Federal Government liability type (for example, covered/not covered by budgetary resources, governmental, and intragovernmental), category (for example, accounts payable, and interest payable and other current liabilities), and subcategory (for example, accrued employees' wages and entitlement benefits) consistent with the FASAB Handbook.	Benefit_Claim_Processing_110

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Claims Processing	16.02.062	C - Authoritative Source/Reference Updated	The benefit system must support the benefit payment execution process by collecting information on interest paid if applicable, and recording the amounts to the proper ledger account.	Source: DoDFMRVol8,Ch6,Su b0605; Source Date: 4/1/2013	1.1.4.3_Managing Financial Liability Information_DO	Provide liability data required to post GL transactions consistent with USSGL attributes (covered/not covered, Federal/non-Federal), transaction codes, transaction categories (for example, disbursements and payables), and transaction subcategories (for example, payables/accrued liabilities) as defined in the TFM.	Benefit_Claim_Processing_111
Claims Processing	16.02.063		The benefit system must support the benefit payment execution process by calculating totals by Agency Location Code (ALC) and Treasury Account Fund Symbol (TAFS) for inclusion on the payment schedule.	Source: JFMIPSR-01-01,pg24; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefit_Claim_Processing_112
Claims Processing	16.02.064		The benefit system must support the benefit payment execution process by sorting individual payment detail by Treasury Account Fund Symbol (TAFS) to enable SF-224 reconciliation.	Source: JFMIPSR-01-01,pg24; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefit_Claim_Processing_113
Claims Processing	16.02.065	C - Authoritative Source/Reference Updated	The benefit system must support the benefit payment execution process by providing for various forms of payment to be used, (i.e., check or electronic funds transfer).	Source: TFMVol1,Pt4A,Ch200 0,Sec2035; Source Date: 12/1/2012	Requirement Not Covered by TFM Guidance		Benefit_Claim_Processing_114

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Chapter	Req Id	Change Type	Requirement	Sources	Treasury's Federal Financial Management Systems Function Guidance (See Appendix A-5)	Treasury's Federal Financial Management Systems Requirement (See Appendix A-5)	DFMIG Rule Name
Claims Processing	16.02.066		The benefit system must support the benefit payment execution process by supporting Treasury-specific standards for format of check and EFT payments.	Source: JFMIPSR-01-01,pg24; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefit_Claim_Processing_115
Claims Processing	16.02.067		The benefit system must support the benefit payment execution process by automatically updating payment records based on updates recorded in the payee's master record, such as change of address, changes in deposit account information, etc.	Source: JFMIPSR-01-01,pg24; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefit_Claim_Processing_116
Claims Processing	16.02.068	C - Authoritative Source/Reference Updated	The benefit system must support the benefit payment execution process by allowing a payment request to be removed from the automated scheduling stream and scheduled as a manual payment by an authorized official.	Source: TFMVol1,Pt4A,Ch2000,Sec2040; Source Date: 12/1/2012	2.2.2.3_Making Payments_DO	Provide certified payment transaction information for the disbursing office to make disbursements as specified in the TFM.	Benefit_Claim_Processing_117
Claims Processing	16.02.069		The benefit system must support the benefit payment execution process by allowing the user to trigger an immediate benefit payment for emergency situations.	Source: JFMIPSR-01-01,pg24; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefit_Claim_Processing_118
Claims Processing	16.02.070	D - Duplicate Requirement Deleted	DELETED: The benefit system must support the benefit payment execution process by providing the capability to schedule and make payments in various forms (e.g., Automated Clearing House (ACH), SF-1166) as required by an agency's disbursing offices, including physical media (e.g., tape, electronic transfer).	Source: ; Source Date:	Requirement Not Covered by TFM Guidance		Benefit_Claim_Processing_119

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Chapter	Req Id	Change Type	Requirement	Sources	Treasury's Federal Financial Management Systems Function Guidance (See Appendix A-5)	Treasury's Federal Financial Management Systems Requirement (See Appendix A-5)	DFMIG Rule Name
Claims Processing	16.02.071	C - Authoritative Source/Reference Updated	The benefit system must support the benefit payment execution process by allowing for cancellation of an entire payment schedule or a single payment within a payment schedule after payments are scheduled and prior to actual disbursement by the agency's disbursing system.	Source: TFMVol1,Pt4A,Ch200 0,Sec2040; Source Date: 12/1/2012	2.2.2.3_Making Payments_DO	Provide certified payment transaction information for the disbursing office to make disbursements as specified in the TFM.	Benefit_Claim_Processing_120
Claims Processing	16.02.072	C - Authoritative Source/Reference Updated	The benefit system must support the benefit payment execution process by providing features to reduce the payment amount due a claimant, in order to offset an outstanding receivable owed by the claimant, in accordance with applicable laws, regulations, and provisions of the Treasury Offset Program (TOP). However, the system must properly record the impact of the entire amount of the transaction in both budgetary and proprietary (i.e., entire amount is expensed, payables or cash accounts reflect net outlay, budgetary resources increased by amount of nonfederal receivable collected, etc.).	Source: TFMVol1,Pt4A,Ch200 0,Sec2075; Source Date: 12/1/2012	1.1.4.2_Managing Financial Liability Information_P	Determine liability value consistent with the FASAB Handbook.	Benefit_Claim_Processing_121
Claims Processing	16.02.073	C - Authoritative Source/Reference Updated	The benefit system must provide a function for review and certification of payments to be disbursed by an authorized certifying officer.	Source: NISTSP800-53,AppF; Source Date: 5/1/2010 Source: DoDFMRVol8,Ch9,Su b0901; Source Date: 8/1/2011	2.2.2.3_Making Payments_DO	Provide certified payment transaction information for the disbursing office to make disbursements as specified in the TFM.	Benefit_Claim_Processing_122

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Chapter	Req Id	Change Type	Requirement	Sources	Treasury's Federal Financial Management Systems Function Guidance (See Appendix A-5)	Treasury's Federal Financial Management Systems Requirement (See Appendix A-5)	DFMIG Rule Name
Claims Processing	16.02.074	D - Duplicate Requirement Deleted	DELETED: The benefit system must generate the appropriate transactions to reflect the computed deductions and additions.	Source: ; Source Date:	Requirement Not Covered by TFM Guidance		Benefit_Claim_Processing_123
Claims Processing	16.02.075	C - Authoritative Source/Reference Updated	The benefit system must support the benefit payment execution process by providing for reissuing payments for misplaced payments, lost or stolen checks.	Source: TFMVol1,Pt4,Ch7000, Sec7020; Source Date: 8/1/2007Source: DoDFMRVol5,Ch11,Sub1103; Source Date: 5/1/2012Source: DoDFMRVol5,Ch8,Sub0804; Source Date: 4/1/2011	2.2.2.3_Making Payments_DO	Provide certified payment transaction information for the disbursing office to make disbursements as specified in the TFM.	Benefit_Claim_Processing_124
Claims Processing	16.02.076		The benefit system must support the benefit payment execution process by automatically consolidating amounts due benefit claimants when the claimant is entitled to more than one benefit administered by the same agency, and itemizing all payments covered by the one check or EFT on a payment advice or addendum to the EFT file per Treasury specifications.	Source: JFMIPSR-01-01,pg25; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefit_Claim_Processing_125
Claims Processing	16.02.077		The benefit system must support the benefit payment execution process by allowing for the splitting of a single benefit payment into separate bank accounts (i.e., allotments) as indicated on the claimants direct deposit request form. Allow for a minimum of one allotment in addition to the primary deposit account.	Source: JFMIPSR-01-01,pg25; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefit_Claim_Processing_126

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Chapter	Req Id	Change Type	Requirement	Sources	Treasury's Federal Financial Management Systems Function Guidance (See Appendix A-5)	Treasury's Federal Financial Management Systems Requirement (See Appendix A-5)	DFMIG Rule Name
Claims Processing	16.02.078		The benefit system must support the benefit payment execution process by providing for making payments in a foreign currency.	Source: JFMIPSR-01-01,pg25; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefit_Claim_Processing_127
Claims Processing	16.02.079	C - Authoritative Source/Reference Updated	The benefit system must support the benefit payment confirmation and follow-up process by updating master record information resulting from payments made by the agency's disbursing system.	Source: DoDFMRVol5,Ch11,Sub1103; Source Date: 5/1/2012	1.1.4.1_Managing Financial Liability Information_DI	Capture Federal Government liability type (for example, covered/not covered by budgetary resources, governmental, and intragovernmental), category (for example, accounts payable, and interest payable and other current liabilities), and subcategory (for example, accrued employees' wages and entitlement benefits) consistent with the FASAB Handbook.	Benefit_Claim_Processing_128
Claims Processing	16.02.080	C - Authoritative Source/Reference Updated	The benefit system must support the benefit payment confirmation and follow-up process by allowing the user to record the outcome of the Treasury search when recertified checks are issued because original checks are lost, stolen, or destroyed.	Source: DoDFMRVol5,Ch8,Sub0804; Source Date: 4/1/2011	FFMIA Financial Management Goal_2.3	Minimize waste, loss, unauthorized use, or misappropriation of Federal funds, property and other assets within resources available.	Benefit_Claim_Processing_129

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Chapter	Req Id	Change Type	Requirement	Sources	Treasury's Federal Financial Management Systems Function Guidance (See Appendix A-5)	Treasury's Federal Financial Management Systems Requirement (See Appendix A-5)	DFMIG Rule Name
Claims Processing	16.02.081	C - Authoritative Source/Reference Updated	The benefit system must support the benefit payment confirmation and follow-up process by providing information about each payment to reflect the stage of the scheduling process that the payment has reached and the date each step was reached for the following processing steps: a) payment scheduled; b) schedule sent to appropriate disbursing office; c) payment issued by appropriate disbursing office.	Source: TFMVol1,Pt4,Ch5000, Sec5035; Source Date: 6/1/2013Source: DoDFMRVol8,Ch9,Su b0902; Source Date: 8/1/2011Source: DoDFMRVol5,Ch11,S ub1103; Source Date: 5/1/2012	FFMIA Financial Management Goal_2.3	Minimize waste, loss, unauthorized use, or misappropriation of Federal funds, property and other assets within resources available.	Benefit_Claim_Processing_130
Claims Processing	16.02.082	C - Authoritative Source/Reference Updated	The benefit system must support the benefit payment confirmation and follow-up process by providing for comparison of the agency's payment schedule and the disbursing office's accomplished payment schedule.	Source: DoDFMRVol8,Ch9,Su b0902; Source Date: 8/1/2011Source: DoDFMRVol5,Ch11,S ub1103; Source Date: 5/1/2012	FFMIA Financial Management Goal_2.3	Minimize waste, loss, unauthorized use, or misappropriation of Federal funds, property and other assets within resources available.	Benefit_Claim_Processing_131
Claims Processing	16.02.083	C - Authoritative Source/Reference Updated	The benefit system must support the benefit payment confirmation and follow-up process by updating payment information when confirmation is received from the disbursing office, including the paid schedule number, check numbers or trace numbers, date, amount of payment, and payment method (e.g., check or EFT).	Source: JFMIPSR-01-01,pg25; Source Date: 9/1/2001Source: DoDFMRVol5,Ch11,S ub1103; Source Date: 5/1/2012	FFMIA Financial Management Goal_2.3	Minimize waste, loss, unauthorized use, or misappropriation of Federal funds, property and other assets within resources available.	Benefit_Claim_Processing_132

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Chapter	Req Id	Change Type	Requirement	Sources	Treasury's Federal Financial Management Systems Function Guidance (See Appendix A-5)	Treasury's Federal Financial Management Systems Requirement (See Appendix A-5)	DFMIG Rule Name
Claims Processing	16.02.084	C - Authoritative Source/Reference Updated	The benefit system must support the benefit payment confirmation and follow-up process by automatically liquidating the related liability or the in-transit amount when payment confirmation updates the system.	Source: DoDFMRVol4,Ch8,Su b0802; Source Date: 9/1/2011	2.2.2.6_Making Payments_DO	Provide payment data required to post GL transactions consistent with USSGL accounts (for example, accrued interest payable - debt, disbursements in transit, delivered orders - obligations, paid), account attributes, account transaction categories (for example, disbursements and payables, collections and receivables, and accruals/nonbudgetary transfers other than disbursements and collections), and account transaction subcategories (for example, payments/purchases, payables/acrued liabilities, and receipts) as defined in the TFM.	Benefit_Claim_Processing_133
Claims Processing	16.02.085	C - Authoritative Source/Reference Updated	The benefit system must support the benefit recovery receivable establishment process by recording the establishment of receivables in the claimant's master record.	Source: DoDFMRVol4,Ch3,Su b0302; Source Date: 9/1/2009	2.2.4.1_Establishing Receivables_DI	Capture Federal Government receivable information (for example, receivable type and customer information) to support agency management of and reporting on receivables to Treasury consistent with the TFM and as required by OMB Circular No.A-129 and the CFR.	Benefit_Claim_Processing_134

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Chapter	Req Id	Change Type	Requirement	Sources	Treasury's Federal Financial Management Systems Function Guidance (See Appendix A-5)	Treasury's Federal Financial Management Systems Requirement (See Appendix A-5)	DFMIG Rule Name
Claims Processing	16.02.086	C - Authoritative Source/Reference Updated	The benefit system must support the benefit recovery receivable establishment process by providing data/info for the establishment of receivables in other systems based on individual claim holder debts.	Source: DoDFMRVol8,Ch8,Su b0802; Source Date: 9/1/2012 Source: DoDFMRVol4,Ch3,Su b0303; Source Date: 9/1/2009 Source: DoDFMRVol8,Ch8,Su b0803; Source Date: 9/1/2012	2.2.4.1_Establishing Receivables_DI	Capture Federal Government receivable information (for example, receivable type and customer information) to support agency management of and reporting on receivables to Treasury consistent with the TFM and as required by OMB Circular No.A-129 and the CFR.	Benefit_Claim_Processing_135
Claims Processing	16.02.087	C - Authoritative Source/Reference Updated	The benefit system must support the benefit recovery receivable establishment process by providing the capability for a user to select the reason the receivable is being recorded from a domain of recognized reasons.	Source: DoDFMRVol4,Ch3,Su b0303; Source Date: 9/1/2009 Source: DoDFMRVol8,Ch8,Su b0804; Source Date: 9/1/2012	2.2.4.1_Establishing Receivables_DI	Capture Federal Government receivable information (for example, receivable type and customer information) to support agency management of and reporting on receivables to Treasury consistent with the TFM and as required by OMB Circular No.A-129 and the CFR.	Benefit_Claim_Processing_136

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Chapter	Req Id	Change Type	Requirement	Sources	Treasury's Federal Financial Management Systems Function Guidance (See Appendix A-5)	Treasury's Federal Financial Management Systems Requirement (See Appendix A-5)	DFMIG Rule Name
Claims Processing	16.02.088	C - Authoritative Source/Reference Updated	The benefit system must support the benefit recovery receivable establishment process by supporting the establishment and tracking of receivables to be paid under installment plans, including plans for which payments have been rescheduled.	Source: DoDFMRVol4,Ch3,Ann1; Source Date: 10/1/2008 Source: DoDFMRVol8,Ch8,Su b0806; Source Date: 9/1/2012	2.2.4.1_Establishing Receivables_DI	Capture Federal Government receivable information (for example, receivable type and customer information) to support agency management of and reporting on receivables to Treasury consistent with the TFM and as required by OMB Circular No.A-129 and the CFR.	Benefit_Claim_Processing_137
Claims Processing	16.02.089	C - Authoritative Source/Reference Updated	The benefit system must support the benefit recovery receivable establishment process by calculating, generating and posting/reversing the required ledger entries for receivables resulting from duplicate payments or erroneous payments, and the corresponding expenditure reductions, or other offsets.	Source: DoDFMRVol4,Ch3,Su b0303; Source Date: 9/1/2009 Source: DoDFMRVol8,Ch8,Su b0806; Source Date: 9/1/2012	2.2.4.3_Establishing Receivables_DO	Provide receivable data required to post GL transactions consistent with USSGL transaction codes, transaction categories (for example, collections and receivables), and transaction subcategories (for example, receivables/accrued revenue) as defined in the TFM.	Benefit_Claim_Processing_138

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Chapter	Req Id	Change Type	Requirement	Sources	Treasury's Federal Financial Management Systems Function Guidance (See Appendix A-5)	Treasury's Federal Financial Management Systems Requirement (See Appendix A-5)	DFMIG Rule Name
Claims Processing	16.02.090	C - Authoritative Source/Reference Updated	The benefit system must support the benefit recovery receivable establishment process providing the capability to print bills, accommodating the generation of statements, standard forms and turnaround documents to be used as a remittance advice. The benefit system must allow customized text in generated billing documents.	Source: DoDFMRVol4,Ch3,Su b0304; Source Date: 9/1/2009 Source: DoDFMRVol8,Ch8,Su b0804; Source Date: 9/1/2012	2.2.4.1_Establishing Receivables_DI	Capture Federal Government receivable information (for example, receivable type and customer information) to support agency management of and reporting on receivables to Treasury consistent with the TFM and as required by OMB Circular No.A-129 and the CFR.	Benefit_Claim_Processing_139
Claims Processing	16.02.091	C - Authoritative Source/Reference Updated	The benefit system must provide the capability for a user to record the beginning and ending dates of the payment period as well as the frequency and amount of each payment under installments plans.	Source: DoDFMRVol4,Ch3,Ann1; Source Date: 10/1/2008	2.2.1.1_Establishing Payables_DI	Capture Federal Government unique recipient information (for example, Federal vendor registration attributes, such as Data Universal Numbering System (DUNS) and the type of relationship with the U.S. Federal Government, grant recipient information) consistent with that required to support the payment management and invoice processing activities defined in 5 CFR 1315, the Federal Acquisitions Regulation (FAR), and the TFM.	Benefit_Claim_Processing_140
Claims Processing	16.02.092	D - Duplicate Requirement Deleted	DELETED: The benefit system must allow customized text in generated billing documents.	Source: ; Source Date:	Requirement Not Covered by TFM Guidance		Benefit_Claim_Processing_141

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Chapter	Req Id	Change Type	Requirement	Sources	Treasury's Federal Financial Management Systems Function Guidance (See Appendix A-5)	Treasury's Federal Financial Management Systems Requirement (See Appendix A-5)	DFMIG Rule Name
Claims Processing	16.02.093	C - Authoritative Source/Reference Updated	The benefit system must support the benefit recovery receivable establishment process by providing features to notify overpaid individuals (or claimant's estate) of: the amount overpaid and how and when overpayment occurred; 1) the right to appeal the overpayment determination; 2) the required recovery; and 3) the right to request a waiver of recovery.	Source: DoDFMRVol4,Ch3,Su b0304; Source Date: 9/1/2009 Source: DoDFMRVol8,Ch8,Su b0804; Source Date: 9/1/2012	2.2.4.1_Establishing Receivables_DI	Capture Federal Government receivable information (for example, receivable type and customer information) to support agency management of and reporting on receivables to Treasury consistent with the TFM and as required by OMB Circular No.A-129 and the CFR.	Benefit_Claim_Processing_142
Claims Processing	16.02.094	C - Authoritative Source/Reference Updated	The benefit system must support the benefit recovery receivable establishment process by recording adjustments, both increases and decreases (i.e., non-cash credits) and post to a claimant's master record.	Source: DoDFMRVol8,Ch2,Su b0206; Source Date: 6/1/2013 Source: DoDFMRVol8,Ch8,Su b0804; Source Date: 9/1/2012 Source: DoDFMRVol8,Ch8,Su b0802; Source Date: 9/1/2012	2.2.4.2_Establishing Receivables_P	Determine receivable amount, including penalty and interest, and other receivable information consistent with OMB Circular No. A-129, the FASAB Handbook, and the CFR.	Benefit_Claim_Processing_143
Claims Processing	16.02.095	D - Authoritative Source/Reference Deleted	DELETED: The benefit system must support the benefit recovery receivable establishment process by dating the bills with the system-generated date or with the date supplied by an authorized user.	Source: ; Source Date:	Requirement Not Covered by TFM Guidance		Benefit_Claim_Processing_144

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Chapter	Req Id	Change Type	Requirement	Sources	Treasury's Federal Financial Management Systems Function Guidance (See Appendix A-5)	Treasury's Federal Financial Management Systems Requirement (See Appendix A-5)	DFMIG Rule Name
Claims Processing	16.02.096	C - Authoritative Source/Reference Updated	The benefit system must support the benefit recovery receivable establishment process by accepting transactions that generate receivables from other systems in a standard format for entry into the benefits system.	Source: DoDFMRVol4,Ch3,Su b0303; Source Date: 9/1/2009	2.2.5.2_Managing Debt_P	Match delinquent debtor information with payment recipient information within and between Federal agencies to effect administrative debt offsets (for example, by administrative wage garnishment and request for paying agency to collect the offset) as required by the CFR as well as OMB Circular No. A-129, and consistent with the TFM.	Benefit_Claim_Processing_145
Claims Processing	16.02.097	D - Duplicate Requirement Deleted	DELETED: The benefit system must support the benefit recovery receivable establishment process by printing statements as well as bills.	Source: ; Source Date:	Requirement Not Covered by TFM Guidance		Benefit_Claim_Processing_146
Claims Processing	16.02.098	C - Authoritative Source/Reference Updated	The benefit system must support the benefit recovery receivable servicing process by updating each master record when billing documents are generated and collections are received.	Source: DoDFMRVol4,Ch3,Su b0303; Source Date: 9/1/2009 Source: DoDFMRVol8,Ch1,Su b0104; Source Date: 5/1/2013	2.2.5.3_Managing Debt_P	Determine allocation of amounts collected (for example, first to penalties and administrative costs, second to interest, then to accounts receivable) as specified in the CFR.	Benefit_Claim_Processing_147
Claims Processing	16.02.099	C - Authoritative Source/Reference Updated	The benefit system must support the benefit recovery receivable servicing process by providing automatic calculation and assessment of interest, administrative charges, and penalty charges as applicable on overdue receivables.	Source: DoDFMRVol8,Ch8,Su b0806; Source Date: 9/1/2012	2.2.4.2_Establishing Receivables_P	Determine receivable amount, including penalty and interest, and other receivable information consistent with OMB Circular No. A-129, the FASAB Handbook, and the CFR.	Benefit_Claim_Processing_148

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Chapter	Req Id	Change Type	Requirement	Sources	Treasury's Federal Financial Management Systems Function Guidance (See Appendix A-5)	Treasury's Federal Financial Management Systems Requirement (See Appendix A-5)	DFMIG Rule Name
Claims Processing	16.02.100	C - Authoritative Source/Reference Updated	The benefit system must support the benefit recovery receivable servicing process by allowing for the waiver of charges with appropriate authority.	Source: DoDFMRVol8,Ch8,Su b0805; Source Date: 9/1/2012	2.2.4.2_Establishing Receivables_P	Determine receivable amount, including penalty and interest, and other receivable information consistent with OMB Circular No. A-129, the FASAB Handbook, and the CFR.	Benefit_Claim_Processing_011
Claims Processing	16.02.101	C - Authoritative Source/Reference Updated	The benefit system must support the benefit recovery receivable servicing process by matching receipts to the appropriate receivables and updating system balances.	Source: DoDFMRVol4,Ch3,Su b0303; Source Date: 9/1/2009	2.2.5.2_Managing Debt_P	Match delinquent debtor information with payment recipient information within and between Federal agencies to effect administrative debt offsets (for example, by administrative wage garnishment and request for paying agency to collect the offset) as required by the CFR as well as OMB Circular No. A-129, and consistent with the TFM.	Benefit_Claim_Processing_012

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Chapter	Req Id	Change Type	Requirement	Sources	Treasury's Federal Financial Management Systems Function Guidance (See Appendix A-5)	Treasury's Federal Financial Management Systems Requirement (See Appendix A-5)	DFMIG Rule Name
Claims Processing	16.02.102	C - Authoritative Source/Reference Updated	The benefit system must support the benefit recovery receivable servicing process by providing the ability to apply receipts to more than one receivable.	Source: DoDFMRVol5,Ch28,S ub2807; Source Date: 11/1/2012Source: DoDFMRVol8,Ch8,Su b0802; Source Date: 9/1/2012	2.2.5.2_Managing Debt_P	Match delinquent debtor information with payment recipient information within and between Federal agencies to effect administrative debt offsets (for example, by administrative wage garnishment and request for paying agency to collect the offset) as required by the CFR as well as OMB Circular No. A-129, and consistent with the TFM.	Benefit_Claim_Proc essing_013
Claims Processing	16.02.103	C - Authoritative Source/Reference Updated	The benefit system must support the benefit recovery receivable servicing process by updating receivable balances document by document, classifying and posting against principal, interest, administrative fees, etc., required by OMB Circular A-129, Managing Federal Credit Programs.	Source: DoDFMRVol8,Ch8,Su b0806; Source Date: 9/1/2012Source: DoDFMRVol4,Ch3,Su b0303; Source Date: 9/1/2009	2.2.5.3_Managing Debt_P	Determine allocation of amounts collected (for example, first to penalties and administrative costs, second to interest, then to accounts receivable) as specified in the CFR.	Benefit_Claim_Proc essing_014
Claims Processing	16.02.104	C - Authoritative Source/Reference Updated	The benefit system must support the benefit recovery receivable servicing process by identifying receivables that have been reduced by some means other than cash collections, such as waivers or write-offs.	Source: DoDFMRVol8,Ch8,Su b0805; Source Date: 9/1/2012Source: DoDFMRVol4,Ch3,Su b0302; Source Date: 9/1/2009	2.2.4.2_Establishing Receivables_P	Determine receivable amount, including penalty and interest, and other receivable information consistent with OMB Circular No. A-129, the FASAB Handbook, and the CFR.	Benefit_Claim_Proc essing_015

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Chapter	Req Id	Change Type	Requirement	Sources	Treasury's Federal Financial Management Systems Function Guidance (See Appendix A-5)	Treasury's Federal Financial Management Systems Requirement (See Appendix A-5)	DFMIG Rule Name
Claims Processing	16.02.105	C - Authoritative Source/Reference Updated	The benefit system must support the benefit collection process by recording complete and partial receipts according to the Debt Collection Act (DCA) of 1982 and the Debt Collection Improvement Act (DCIA) of 1996 and other applicable regulations.	Source: DoDFMRVol4,Ch3,Su b0303; Source Date: 9/1/2009	2.2.5.3_Managing Debt_P	Determine allocation of amounts collected (for example, first to penalties and administrative costs, second to interest, then to accounts receivable) as specified in the CFR.	Benefit_Claim_Processing_016
Claims Processing	16.02.106	C - Authoritative Source/Reference Updated	The benefit system must support the benefit collection process by recording deposits and related debit vouchers for reconciliation to deposit confirmation information provided by Treasury or the banking system. Specifically, providing the capability for a user to record the deposit ticket or debit voucher document amount, number, and date.	Source: DoDFMRVol5,Ch24,Su b2410; Source Date: 3/1/2012Source: DoDFMRVol5,Ch5,Su b0503; Source Date: 12/1/2010	2.2.5.5_Managing Debt_DI	Capture deposit identifying and status information to reconcile agency deposits with Treasury account balances.	Benefit_Claim_Processing_017
Claims Processing	16.02.107	C - Authoritative Source/Reference Updated	The benefit system must support the benefit collection process by providing the capability to associate the collection of funds to the claimant's master record, if the user is not able locate an existing receivable in the master record of the person making a payment, and the document being recorded is a deposit ticket item.	Source: DoDFMRVol8,Ch8,Su b0806; Source Date: 9/1/2012	2.2.5.2_Managing Debt_P	Match delinquent debtor information with payment recipient information within and between Federal agencies to effect administrative debt offsets (for example, by administrative wage garnishment and request for paying agency to collect the offset) as required by the CFR as well as OMB Circular No. A-129, and consistent with the TFM.	Benefit_Claim_Processing_018

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Chapter	Req Id	Change Type	Requirement	Sources	Treasury's Federal Financial Management Systems Function Guidance (See Appendix A-5)	Treasury's Federal Financial Management Systems Requirement (See Appendix A-5)	DFMIG Rule Name
Claims Processing	16.02.108	C - Authoritative Source/Reference Updated	The benefit system must support the benefit collection process by providing features to record method of recovery (i.e., payment; internal offset; Treasury Offset Program (TOP), compromise settlement; civil suit; etc.).	Source: DoDFMRVol8,Ch8,Su b0806; Source Date: 9/1/2012	2.2.5.2_Managing Debt_P	Match delinquent debtor information with payment recipient information within and between Federal agencies to effect administrative debt offsets (for example, by administrative wage garnishment and request for paying agency to collect the offset) as required by the CFR as well as OMB Circular No. A-129, and consistent with the TFM.	Benefit_Claim_Processing_019
Claims Processing	16.02.109	C - Authoritative Source/Reference Updated	The benefit system must support the benefit collection process by providing the capability to flag a claimant's receivable record to reflect 'due process' status, in order to suspend collection activities associated with the receivable. Additionally, the system must automatically allow collection activities to resume when due process has expired or the flag has been reversed.	Source: DoDFMRVol8,Ch8,Su b0804; Source Date: 9/1/2012	2.2.5.2_Managing Debt_P	Match delinquent debtor information with payment recipient information within and between Federal agencies to effect administrative debt offsets (for example, by administrative wage garnishment and request for paying agency to collect the offset) as required by the CFR as well as OMB Circular No. A-129, and consistent with the TFM.	Benefit_Claim_Processing_020

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Chapter	Req Id	Change Type	Requirement	Sources	Treasury's Federal Financial Management Systems Function Guidance (See Appendix A-5)	Treasury's Federal Financial Management Systems Requirement (See Appendix A-5)	DFMIG Rule Name
Claims Processing	16.02.110	C - Authoritative Source/Reference Updated	The benefit system must support the benefit collection process by providing for the processing of cash, checks, EFT drafts, money orders or credit card receipts against outstanding receivables.	Source: DoDFMRVol5,Ch10,S ub1002; Source Date: 9/1/2011	2.2.5.3_Managing Debt_P	Determine allocation of amounts collected (for example, first to penalties and administrative costs, second to interest, then to accounts receivable) as specified in the CFR.	Benefit_Claim_Proc essing_022
Claims Processing	16.02.111	C - Authoritative Source/Reference Updated	The benefit system must support the benefit offset process by allowing for offset of funds due to delinquent indebtedness through administrative offset, Federal employee salary offset, and income tax refund offset.	Source: DoDFMRVol8,Ch8,Su b0803; Source Date: 9/1/2012	2.2.5.2_Managing Debt_P	Match delinquent debtor information with payment recipient information within and between Federal agencies to effect administrative debt offsets (for example, by administrative wage garnishment and request for paying agency to collect the offset) as required by the CFR as well as OMB Circular No. A-129, and consistent with the TFM.	Benefit_Claim_Proc essing_023
Claims Processing	16.02.112	C - Authoritative Source/Reference Updated	The benefit system must support the benefit offset process by providing the capability for a user to record the effective date of the offset plan.	Source: DoDFMRVol8,Ch8,Su b0802; Source Date: 9/1/2012Source: DoDFMRVol8,Ch8,Su b0804; Source Date: 9/1/2012	2.2.4.2_Establishing Receivables_P	Determine receivable amount, including penalty and interest, and other receivable information consistent with OMB Circular No. A-129, the FASAB Handbook, and the CFR.	Benefit_Claim_Proc essing_024

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Chapter	Req Id	Change Type	Requirement	Sources	Treasury's Federal Financial Management Systems Function Guidance (See Appendix A-5)	Treasury's Federal Financial Management Systems Requirement (See Appendix A-5)	DFMIG Rule Name
Claims Processing	16.02.113	C - Authoritative Source/Reference Updated	The benefit system must support the benefit offset process by incorporating logic to reflect the legal authority as to whether the payment type may be reduced by offset, or is exempt from the Treasury Offset Program (TOP) or other offsets.	Source: DoDFMRVol8,Ch8,Su b0803; Source Date: 9/1/2012	2.2.4.2_Establishing Receivables_P	Determine receivable amount, including penalty and interest, and other receivable information consistent with OMB Circular No. A-129, the FASAB Handbook, and the CFR.	Benefit_Claim_Processing_025
Claims Processing	16.02.114	C - Authoritative Source/Reference Updated	The benefit system must support the benefit offset process by providing the capability to automatically exclude certain receivables from the offset function.	Source: DoDFMRVol8,Ch8,Su b0811; Source Date: 9/1/2012Source: DoDFMRVol8,Ch8,Su b0804; Source Date: 9/1/2012	2.2.5.1_Managing Debt_DI	Capture debt category (for example, bankruptcy and at private collection agency) to support debt reporting consistent with the TFM.	Benefit_Claim_Processing_026
Claims Processing	16.02.115	C - Authoritative Source/Reference Updated	The benefit system must support the benefit offset process by automatically subjecting receivables to offset which had been previously excluded because of due process or bankruptcy status once status has been resolved.	Source: DoDFMRVol8,Ch8,Su b0811; Source Date: 9/1/2012Source: DoDFMRVol8,Ch8,Su b0804; Source Date: 9/1/2012	2.2.5.1_Managing Debt_DI	Capture debt category (for example, bankruptcy and at private collection agency) to support debt reporting consistent with the TFM.	Benefit_Claim_Processing_027

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Chapter	Req Id	Change Type	Requirement	Sources	Treasury's Federal Financial Management Systems Function Guidance (See Appendix A-5)	Treasury's Federal Financial Management Systems Requirement (See Appendix A-5)	DFMIG Rule Name
Claims Processing	16.02.116	C - Authoritative Source/Reference Updated	The benefit system must comply with the requirements of the IRS Tax Refund Offset Program and Treasury Offset Program (TOP) requirements.	Source: DoDFMRVol8,Ch8,Su b0806; Source Date: 9/1/2012Source: 5CFR,ChI,SubchB,Pt8 35; Source Date: 8/1/2012Source: TFMVol1,Pt4,Ch4000, Sec4020; Source Date: 10/1/2011	2.2.5.2_Managing Debt_P	Match delinquent debtor information with payment recipient information within and between Federal agencies to effect administrative debt offsets (for example, by administrative wage garnishment and request for paying agency to collect the offset) as required by the CFR as well as OMB Circular No. A-129, and consistent with the TFM.	Benefit_Claim_Processing_028
Claims Processing	16.02.117	C - Authoritative Source/Reference Updated	The benefit system must support the benefit offset process by providing the capability for a user to select from a domain of offset program participants (i.e., the recipient of the amount to be offset against a claimant's benefit payment).	Source: DoDFMRVol8,Ch8,Su b0802; Source Date: 9/1/2012	2.2.5.2_Managing Debt_P	Match delinquent debtor information with payment recipient information within and between Federal agencies to effect administrative debt offsets (for example, by administrative wage garnishment and request for paying agency to collect the offset) as required by the CFR as well as OMB Circular No. A-129, and consistent with the TFM.	Benefit_Claim_Processing_029

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Chapter	Req Id	Change Type	Requirement	Sources	Treasury's Federal Financial Management Systems Function Guidance (See Appendix A-5)	Treasury's Federal Financial Management Systems Requirement (See Appendix A-5)	DFMIG Rule Name
Claims Processing	16.02.118	C - Authoritative Source/Reference Updated	The benefit system must support the benefit offset process by providing the capability for a user to create a participant record if the desired offset program recipient does not exist as a participant.	Source: DoDFMRVol8,Ch8,Su b0802; Source Date: 9/1/2012	2.2.5.2_Managing Debt_P	Match delinquent debtor information with payment recipient information within and between Federal agencies to effect administrative debt offsets (for example, by administrative wage garnishment and request for paying agency to collect the offset) as required by the CFR as well as OMB Circular No. A-129, and consistent with the TFM.	Benefit_Claim_Processing_030
Claims Processing	16.02.119	C - Authoritative Source/Reference Updated	The benefit system must support the benefit offset process in connection with paying retirement benefits, by uniquely identifying bankruptcy debt so that a bankruptcy debt is bypassed when computing the legally mandated 65 percent maximum deduction amount, when an account has both garnishment and former spouse deductions.	Source: JFMIPSR-01-01,pg29; Source Date: 9/1/2001Source: DoDFMRVol8,Ch8,Su b0810; Source Date: 9/1/2012	2.2.5.2_Managing Debt_P	Match delinquent debtor information with payment recipient information within and between Federal agencies to effect administrative debt offsets (for example, by administrative wage garnishment and request for paying agency to collect the offset) as required by the CFR as well as OMB Circular No. A-129, and consistent with the TFM.	Benefit_Claim_Processing_031

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Chapter	Req Id	Change Type	Requirement	Sources	Treasury's Federal Financial Management Systems Function Guidance (See Appendix A-5)	Treasury's Federal Financial Management Systems Requirement (See Appendix A-5)	DFMIG Rule Name
Claims Processing	16.02.120	C - Authoritative Source/Reference Updated	The benefit system must support the funds control process within the benefit recovery receivable management process by properly recording the budgetary affect on U.S. Standard General Ledger balances for all transactions typically involved with receivable management.	Source: DoDFMRVol4,Ch3,Su b0303; Source Date: 9/1/2009	1.1.2.1_Posting GL Transactions_DI	Capture GL account transaction information provided by supporting financial management operations (for example, payments, receipts, liabilities, assets, and reimbursables/ intragovernmentals) consistent with the USSGL account attributes, account transaction categories, and account transaction codes, account transaction subcategories defined in the TFM.	Benefit_Claim_Processing_033
Claims Processing	16.02.121	C - Authoritative Source/Reference Updated	The benefit system must support the benefit entity definition process by allowing for recording transactions in multiple Treasury Account Fund Symbols (TAFS) and various fund types including annual, multi-year, and no-year appropriations, and trust funds.	Source: OMBCIRA-11,Pt1,Sec20.3; Source Date: 7/1/2013	1.1.1.3_Defining GL Accounts and Attributes_DI	Provide GL budgetary account attributes (for example, Default Budget Enforcement Act Category, Apportionment Category B Program Code, Authority Type Code) consistent with the USSGL attributes defined in the TFM.	Benefit_Claim_Processing_034

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Chapter	Req Id	Change Type	Requirement	Sources	Treasury's Federal Financial Management Systems Function Guidance (See Appendix A-5)	Treasury's Federal Financial Management Systems Requirement (See Appendix A-5)	DFMIG Rule Name
Claims Processing	16.02.122	C - Authoritative Source/Reference Updated	The benefit system must support the benefit entity definition process by accommodating reporting for multiple programs within a Treasury Account Fund Symbol (TAFS), based on the accounting classification elements (e.g., organization level, cost center).	Source: DoDFMRVol1,Ch4,Su b0402; Source Date: 6/1/2009	1.1.1.1_Defining GL Accounts and Attributes_DI	Provide GL account classifications (for example, budgetary, assets, liabilities, revenues and expenses), account categories (for example, receivables), and account subcategories (for example, accounts receivable) consistent with the USSGL accounts defined in the TFM.	Benefit_Claim_Processing_035
Claims Processing	16.02.123	C - Authoritative Source/Reference Updated	The benefit system must support the benefit account definition process by using account titles consistent with the account titles provided in the U.S. Standard General Ledger (SGL).	Source: DoDFMRVol4,Ch10,S ub1001; Source Date: 11/1/2011	1.1.1.1_Defining GL Accounts and Attributes_DI	Provide GL account classifications (for example, budgetary, assets, liabilities, revenues and expenses), account categories (for example, receivables), and account subcategories (for example, accounts receivable) consistent with the USSGL accounts defined in the TFM.	Benefit_Claim_Processing_036

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Chapter	Req Id	Change Type	Requirement	Sources	Treasury's Federal Financial Management Systems Function Guidance (See Appendix A-5)	Treasury's Federal Financial Management Systems Requirement (See Appendix A-5)	DFMIG Rule Name
Claims Processing	16.02.124	C - Authoritative Source/Reference Updated	The benefit system must support the benefit account definition process by using account definitions consistent with the account definitions provided in the U.S. SGL.	Source: DoDFMRVol4,Ch10,Sub1001; Source Date: 11/1/2011	1.1.1.1_Defining GL Accounts and Attributes_DI	Provide GL account classifications (for example, budgetary, assets, liabilities, revenues and expenses), account categories (for example, receivables), and account subcategories (for example, accounts receivable) consistent with the USSGL accounts defined in the TFM.	Benefit_Claim_Processing_037
Claims Processing	16.02.125	C - Authoritative Source/Reference Updated	The benefit system must support the benefit account definition process by providing subsidiary ledger support for U.S. SGL accounts and supporting reconciliation of U.S. SGL control accounts to their respective subsidiary records by accounting period.	Source: DoDFMRVol4,Ch10,Sub1001; Source Date: 11/1/2011	1.1.1.1_Defining GL Accounts and Attributes_DI	Provide GL account classifications (for example, budgetary, assets, liabilities, revenues and expenses), account categories (for example, receivables), and account subcategories (for example, accounts receivable) consistent with the USSGL accounts defined in the TFM.	Benefit_Claim_Processing_038

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Chapter	Req Id	Change Type	Requirement	Sources	Treasury's Federal Financial Management Systems Function Guidance (See Appendix A-5)	Treasury's Federal Financial Management Systems Requirement (See Appendix A-5)	DFMIG Rule Name
Claims Processing	16.02.126	C - Authoritative Source/Reference Updated	The benefit system must support the benefit transaction definition and processing activities by allowing standard transactions, including system-generated transactions, to be established, modified, or deleted by authorized personnel and providing for traceability of changes.	Source: DoDFMRVol4,Ch10,S ub1001; Source Date: 11/1/2011Source: DoDFMRVol1,Ch7,Su b0704; Source Date: 6/1/2009Source: OMBIRA-123,Att,II; Source Date: 12/1/2004	1.1.2.1_Posting GL Transactions_DI	Capture GL account transaction information provided by supporting financial management operations (for example, payments, receipts, liabilities, assets, and reimbursables/ intragovernmentals) consistent with the USSGL account attributes, account transaction categories, and account transaction codes, account transaction subcategories defined in the TFM.	Benefit_Claim_Proc essing_039
Claims Processing	16.02.127	C - Authoritative Source/Reference Updated	The benefit system must support the benefit transaction definition and processing activities by generating and posting compound debit and credit entries to the U.S. SGL accounts and updating both budgetary and proprietary U.S. SGL accounts based on a single input transaction.	Source: DoDFMRVol1,Ch7,Su b0704; Source Date: 6/1/2009Source: DoDFMRVol1,Ch7,Su b0705; Source Date: 6/1/2009	1.1.2.1_Posting GL Transactions_DI	Capture GL account transaction information provided by supporting financial management operations (for example, payments, receipts, liabilities, assets, and reimbursables/ intragovernmentals) consistent with the USSGL account attributes, account transaction categories, and account transaction codes, account transaction subcategories defined in the TFM.	Benefit_Claim_Proc essing_040

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Chapter	Req Id	Change Type	Requirement	Sources	Treasury's Federal Financial Management Systems Function Guidance (See Appendix A-5)	Treasury's Federal Financial Management Systems Requirement (See Appendix A-5)	DFMIG Rule Name
Claims Processing	16.02.128	C - Authoritative Source/Reference Updated	The benefit system must support the benefit transaction definition and processing activities by providing the capability to add, modify, and maintain editing and posting rules through systems tables controlled by authorized personnel.	Source: JFMIPSR-01-01,pg31; Source Date: 9/1/2001Source: NISTSP800-53,AppF; Source Date: 5/1/2010	2.4.1.1_Securing Financial Management System Information_P	Provide and monitor Federal information system security controls consistent with information system category (low, moderate, high), impact on security objectives (confidentiality, integrity, availability), and minimum security requirements (for example, access control, configuration management, and incident response) as specified by National Institute of Standards and Technology and consistent with OMB Circular No. A-130.	Benefit_Claim_Processing_041
Claims Processing	16.02.129	C - Authoritative Source/Reference Updated	The benefit system must support the benefit transaction definition and processing activities by processing transactions consistent with U.S. SGL account descriptions and postings. Use of other than U.S. SGL account numbers (i.e., a pseudo code) is acceptable providing the account descriptions and posting rules are the same as those used in the U.S. SGL for relevant transactions.	Source: DoDFMRVol1,Ch7,Su b0704; Source Date: 6/1/2009	1.1.4.3_Managing Financial Liability Information_DO	Provide liability data required to post GL transactions consistent with USSGL attributes (covered/not covered, Federal/non-Federal), transaction codes, transaction categories (for example, disbursements and payables), and transaction subcategories (for example, payables/accrued liabilities) as defined in the TFM.	Benefit_Claim_Processing_042

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Chapter	Req Id	Change Type	Requirement	Sources	Treasury's Federal Financial Management Systems Function Guidance (See Appendix A-5)	Treasury's Federal Financial Management Systems Requirement (See Appendix A-5)	DFMIG Rule Name
Claims Processing	16.02.130	C - Authoritative Source/Reference Updated	The benefit system must have any expansion to the chart of accounts that roll up to the accounts as defined in the U.S. SGL.	Source: DoDFMRVol1,Ch7,Su b0704; Source Date: 6/1/2009	1.1.1.1_Defining GL Accounts and Attributes_DI	Provide GL account classifications (for example, budgetary, assets, liabilities, revenues and expenses), account categories (for example, receivables), and account subcategories (for example, accounts receivable) consistent with the USSGL accounts defined in the TFM.	Benefit_Claim_Processing_044
Claims Processing	16.02.131	C - Authoritative Source/Reference Updated	The benefit system must perform integrity checks on batches received via interfaces. Checks should include a batch number to detect duplicate or skipped batches, and validity of header and trailer records to ensure counts and amount in trailer agree with records in the batch.	Source: OMBCIRA-123,Att,II; Source Date: 12/1/2004	FFMIA Financial Management Goal_2.3	Minimize waste, loss, unauthorized use, or misappropriation of Federal funds, property and other assets within resources available.	Benefit_Claim_Processing_045
Claims Processing	16.02.132	D - Duplicate Requirement Deleted	DELETED: The benefit system must provide this capability when balancing of preceding documents when entering dependent documents used in the liquidation of commitments, obligations, payables, receivables, or other items, as appropriate.	Source: ; Source Date:	Requirement Not Covered by TFM Guidance		Benefit_Claim_Processing_046

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Chapter	Req Id	Change Type	Requirement	Sources	Treasury's Federal Financial Management Systems Function Guidance (See Appendix A-5)	Treasury's Federal Financial Management Systems Requirement (See Appendix A-5)	DFMIG Rule Name
Claims Processing	16.02.133		The benefit system must support the benefit transaction definition and processing activities by processing system-generated transactions, such as automated payment scheduling, accruals, closing entries, recurring payments, and transactions that generate other transactions in those cases where a single transaction is not sufficient.	Source: JFMIPSR-01-01,pg32; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefit_Claim_Processing_047
Claims Processing	16.02.134		The benefit system must support the benefit transaction definition and processing activities by automatically liquidating, partially or in full, balances of preceding documents when entering dependent documents.	Source: JFMIPSR-01-01,pg32; Source Date: 9/1/2001	1.1.4.2_Managing Financial Liability Information_P	Determine liability value consistent with the FASAB Handbook.	Benefit_Claim_Processing_048
Claims Processing	16.02.135		The benefit system must support the benefit transaction definition and processing activities by providing the capability to enter and store for future processing any transactions in the current month for processing in the subsequent month.	Source: JFMIPSR-01-01,pg32; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefit_Claim_Processing_049
Claims Processing	16.02.136		The benefit system must provide features to record death information provided from voluntary sources (e.g. returned check, funeral home notification, and next of kin).	Source: JFMIPSR-01-01,pg38; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefit_Claim_Processing_050
Claims Processing	16.02.137		The benefit system must provide all users the capability to perform a Print Screen function.	Source: JFMIPSR-01-01,pg38; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefit_Claim_Processing_051

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Chapter	Req Id	Change Type	Requirement	Sources	Treasury's Federal Financial Management Systems Function Guidance (See Appendix A-5)	Treasury's Federal Financial Management Systems Requirement (See Appendix A-5)	DFMIG Rule Name
Claims Processing	16.02.138		The benefit system must allow users to input parameters required to run batch jobs in a production mode either daily, monthly, quarterly or yearly.	Source: JFMIPSR-01-01,pg38; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefit_Claim_Processing_052
Claims Processing	16.02.139		The benefit system must provide for self-service claimant initiated Web browser requests pertaining to account specific matters. It should: a) enable the claimant to request the reissuance of a misplaced payment using a Web browser; b) enable the claimant to access decision tree functions to help guide them through self-service transactions through a Web browser; c) have pop-up windows to help annuitants when making changes to files through a Web browser; d) enable the claimant to complete forms using a Web browser; e) have the ability to verify the claimant security information through a Web browser; f) provide access to claimant retirement related data through Interactive Voice Response (IVR); g) make IVR available for claimant inquiries 24 hours a day, 7 days a week with the exception of scheduled outages; h) allow the claimant to initiate changes to his/her account through the IVR; i) have the ability to support multiple languages (e.g., English and Spanish).	Source: JFMIPSR-01-01,pg40; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefit_Claim_Processing_053
Claims Processing	16.02.140		The benefit system must support the data entry technical function by utilizing various automated input devices when hard copy applications and correspondence are received.	Source: JFMIPSR-01-01,pg40,41; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefit_Claim_Processing_055

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Chapter	Req Id	Change Type	Requirement	Sources	Treasury's Federal Financial Management Systems Function Guidance (See Appendix A-5)	Treasury's Federal Financial Management Systems Requirement (See Appendix A-5)	DFMIG Rule Name
Claims Processing	16.02.141		The benefit system must support the data entry technical function by supporting both batch and real-time on-line data entry and use the same edit and update rules as appropriate for each mode.	Source: JFMIPSR-01-01,pg40,41; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefit_Claim_Processing_056
Claims Processing	16.02.142	C - Authoritative Source/Reference Updated	The benefit system must support the data entry technical function by supporting simultaneous data entry/access by multiple users in a variety of access modes.	Source: JFMIPSR-01-01,pg40,41; Source Date: 9/1/2001 Source: NISTSP800-53,AppF; Source Date: 5/1/2010	2.4.1.1_Securing Financial Management System Information_P	Provide and monitor Federal information system security controls consistent with information system category (low, moderate, high), impact on security objectives (confidentiality, integrity, availability), and minimum security requirements (for example, access control, configuration management, and incident response) as specified by National Institute of Standards and Technology and consistent with OMB Circular No. A-130.	Benefit_Claim_Processing_057

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Chapter	Req Id	Change Type	Requirement	Sources	Treasury's Federal Financial Management Systems Function Guidance (See Appendix A-5)	Treasury's Federal Financial Management Systems Requirement (See Appendix A-5)	DFMIG Rule Name
Claims Processing	16.02.143	C - Authoritative Source/Reference Updated	The benefit system must support the data entry technical function by providing user entry and query screens that support validation of codes entered on the screen, assisting users in determining correct codes if an invalid code is entered, and providing for inquiry by the user to verify valid codes during the data entry process.	Source: NISTSP800-53,AppF; Source Date: 5/1/2010	2.4.1.1_Securing Financial Management System Information_P	Provide and monitor Federal information system security controls consistent with information system category (low, moderate, high), impact on security objectives (confidentiality, integrity, availability), and minimum security requirements (for example, access control, configuration management, and incident response) as specified by National Institute of Standards and Technology and consistent with OMB Circular No. A-130.	Benefit_Claim_Processing_058

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Chapter	Req Id	Change Type	Requirement	Sources	Treasury's Federal Financial Management Systems Function Guidance (See Appendix A-5)	Treasury's Federal Financial Management Systems Requirement (See Appendix A-5)	DFMIG Rule Name
Claims Processing	16.02.144	C - Authoritative Source/Reference Updated	The benefit system must support the data entry technical function by providing the capability to display inputs required to complete transactions by a user based on the selection of transaction type. Whenever possible, keystroke reducing tools such as drop down menus should be utilized to reduce chance of data entry error.	Source: NISTSP800-53,AppF; Source Date: 5/1/2010	2.4.1.1_Securing Financial Management System Information_P	Provide and monitor Federal information system security controls consistent with information system category (low, moderate, high), impact on security objectives (confidentiality, integrity, availability), and minimum security requirements (for example, access control, configuration management, and incident response) as specified by National Institute of Standards and Technology and consistent with OMB Circular No. A-130.	Benefit_Claim_Processing_059

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Chapter	Req Id	Change Type	Requirement	Sources	Treasury's Federal Financial Management Systems Function Guidance (See Appendix A-5)	Treasury's Federal Financial Management Systems Requirement (See Appendix A-5)	DFMIG Rule Name
Claims Processing	16.02.145	C - Authoritative Source/Reference Updated	The benefit system must support the data entry technical function by displaying a message to the user notifying them of the acceptance or rejection of each transaction once all inputs are completed.	Source: NISTSP800-53,AppF; Source Date: 5/1/2010	2.4.1.1_Securing Financial Management System Information_P	Provide and monitor Federal information system security controls consistent with information system category (low, moderate, high), impact on security objectives (confidentiality, integrity, availability), and minimum security requirements (for example, access control, configuration management, and incident response) as specified by National Institute of Standards and Technology and consistent with OMB Circular No. A-130.	Benefit_Claim_Processing_060

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Chapter	Req Id	Change Type	Requirement	Sources	Treasury's Federal Financial Management Systems Function Guidance (See Appendix A-5)	Treasury's Federal Financial Management Systems Requirement (See Appendix A-5)	DFMIG Rule Name
Claims Processing	16.02.146	C - Authoritative Source/Reference Updated	The benefit system must support the data entry technical function by identifying erroneous inputs with corrective recommendations.	Source: NISTSP800-53,AppF; Source Date: 5/1/2010	2.4.1.1_Securing Financial Management System Information_P	Provide and monitor Federal information system security controls consistent with information system category (low, moderate, high), impact on security objectives (confidentiality, integrity, availability), and minimum security requirements (for example, access control, configuration management, and incident response) as specified by National Institute of Standards and Technology and consistent with OMB Circular No. A-130.	Benefit_Claim_Processing_061

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Chapter	Req Id	Change Type	Requirement	Sources	Treasury's Federal Financial Management Systems Function Guidance (See Appendix A-5)	Treasury's Federal Financial Management Systems Requirement (See Appendix A-5)	DFMIG Rule Name
Claims Processing	16.02.147	C - Authoritative Source/Reference Updated	The benefit system must support the data entry technical function by providing the capability for financial users to create comments relating to all types of transactions using free-form text.	Source: JFMIPSR-01-01,pg41; Source Date: 9/1/2001 Source: NISTSP800-53,AppF; Source Date: 5/1/2010	2.4.1.1_Securing Financial Management System Information_P	Provide and monitor Federal information system security controls consistent with information system category (low, moderate, high), impact on security objectives (confidentiality, integrity, availability), and minimum security requirements (for example, access control, configuration management, and incident response) as specified by National Institute of Standards and Technology and consistent with OMB Circular No. A-130.	Benefit_Claim_Processing_062
Claims Processing	16.02.148	C - Authoritative Source/Reference Updated	The benefit system must maintain general data such as demographic data other than that specified in the application information stores that has been historically used by the agency in preparing actuarial estimates, for purposes such as forecasting and complying with financial statement reporting requirements (e.g. Statements of Federal Financial Accounting Standards (SFFAS) No. 5 and No. 17).	Source: SFFAS5,56; Source Date: 9/1/1995	1.3.2.2_Making Federal Financial Information Accessible_P	Provide Federal financial information of official record, in accordance with published rules and consistent with OMB Circular No. A-130, to persons properly and directly concerned, except financial information held confidential for good cause.	Benefit_Claim_Processing_063

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Chapter	Req Id	Change Type	Requirement	Sources	Treasury's Federal Financial Management Systems Function Guidance (See Appendix A-5)	Treasury's Federal Financial Management Systems Requirement (See Appendix A-5)	DFMIG Rule Name
Reporting	16.03.001	D - Authoritative Source/Reference Deleted	DELETED: The benefit system must provide features to compute and maintain performance trends such as: a) number and dollar value of benefit payments made, b) average benefit payment, c) benefit payments made by operating levels and geographic region.	Source: ; Source Date:	Requirement Not Covered by TFM Guidance		Benefits_Reporting_001
Reporting	16.03.002	D - Authoritative Source/Reference Deleted	DELETED: The benefit system must provide features to compute and maintain efficiency measures to help determine the effectiveness of use of agency resources (at the local level and the national level) such as: number of applications processed; number of awards; and number of denials.	Source: ; Source Date:	Requirement Not Covered by TFM Guidance		Benefits_Reporting_002
Reporting	16.03.003		The benefit system must provide features to compute the length of time claimants have received benefits (average number of months and years, and report(s) showing the number of individuals receiving benefits for by duration (e.g., 1-5 years, 6-10 years) for the entire claimant population.	Source: JFMIPSR-01-01,pg35; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Reporting_003
Reporting	16.03.004		The benefit system must provide features to automatically compute and maintain efficiency measures to help determine the effectiveness of use of agency resources (at the local level and the national level) such as: a) administrative cost per application approved; b) time required to process a claim application.	Source: JFMIPSR-01-01,pg35; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Reporting_004

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Reporting	16.03.005	D - Authoritative Source/Reference Deleted	DELETED: The benefit system must provide for issuing standard notices for a variety of user defined events. For example, the benefit system must provide capabilities to notify claimant of decisions affecting payment amount or cessation of payments.	Source: ; Source Date:	Requirement Not Covered by TFM Guidance		Benefits_Reporting_005
Reporting	16.03.006	D - Authoritative Source/Reference Deleted	DELETED: The benefit system must allow the user to add custom text for each standard correspondence type.	Source: ; Source Date:	Requirement Not Covered by TFM Guidance		Benefits_Reporting_006
Reporting	16.03.007		The benefit system must allow user to record that the U.S. Postal Service has returned correspondence as undeliverable.	Source: JFMIPSR-01-01,pg35,36; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Reporting_007
Reporting	16.03.008		The benefit system must have the ability to capture or record the date on which a request for information is received from a claimant or an authorized representative, relative to an appeals case.	Source: JFMIPSR-01-01,pg35,36; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Reporting_008
Reporting	16.03.009		The benefit system must support the benefit funds analysis process by maintaining current information on obligations according to the classification elements established by the agency, on a fund by fund basis.	Source: JFMIPSR-01-01,pg23; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Reporting_009
Reporting	16.03.010		The benefit system must support the benefit funds analysis process by sorting and providing on-line access to both summary level and detailed information, based on the defined level of funds control for those transactions that represent obligations and expenditures.	Source: JFMIPSR-01-01,pg23; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Reporting_010

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Chapter	Req Id	Change Type	Requirement	Sources	Treasury's Federal Financial Management Systems Function Guidance (See Appendix A-5)	Treasury's Federal Financial Management Systems Requirement (See Appendix A-5)	DFMIG Rule Name
Reporting	16.03.011		The benefit system must support the benefit funds analysis process by providing control features that ensure the amounts reflected in the fund control structure agree with the general ledger account balances at the end of each update cycle.	Source: JFMIPSR-01-01,pg23; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Reporting_011
Reporting	16.03.012		The benefit system must support the benefit funds analysis process by tracking the use of funds against operating or financial plans.	Source: JFMIPSR-01-01,pg23; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Reporting_012
Reporting	16.03.013		The benefit system must support the benefit recovery receivable servicing process by identifying and reporting receivables that meet predetermined criteria for bad debt provisions or write-off.	Source: JFMIPSR-01-01,pg27; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Reporting_013
Reporting	16.03.014		The benefit system must support the benefit recovery receivable servicing process by providing information to allow either manual or automated reporting of delinquent accounts to commercial credit bureaus and referral to collection agencies or other appropriate governmental organizations.	Source: JFMIPSR-01-01,pg27; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Reporting_014

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Chapter	Req Id	Change Type	Requirement	Sources	Treasury's Federal Financial Management Systems Function Guidance (See Appendix A-5)	Treasury's Federal Financial Management Systems Requirement (See Appendix A-5)	DFMIG Rule Name
Reporting	16.03.015		The benefit system must support the benefit transaction definition and processing activities by posting to the current and prior accounting months concurrently until the prior month closing; maintain and provide on-line queries and reports on balances separately for the current and prior months. At a minimum, balances must be maintained on-line for both the current and prior months until prior month closing.	Source: JFMIPSR-01-01,pg32; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Reporting_015
Reporting	16.03.016		The benefit system must support the benefit external reporting process by maintaining accounting data to support reporting in accordance with accounting standards pronounced by Federal Accounting Standards Advisory Board (FASAB), and with other reporting requirements issued by the Director of Office Management and Budget (OMB) and the Secretary of the Treasury.	Source: JFMIPSR-01-01,pg33; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Reporting_016
Reporting	16.03.017	D - Authoritative Source/Reference Deleted	DELETED: The benefit system must support the benefit external reporting process by providing summarized data electronically to systems used by the agency for decision support and provide for the preparation of external reports such as those required by the Department of the Treasury and Office of Management and Budget (OMB).	Source: ; Source Date:	Requirement Not Covered by TFM Guidance		Benefits_Reporting_017

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Chapter	Req Id	Change Type	Requirement	Sources	Treasury's Federal Financial Management Systems Function Guidance (See Appendix A-5)	Treasury's Federal Financial Management Systems Requirement (See Appendix A-5)	DFMIG Rule Name
Reporting	16.03.018		The benefit system must support the benefit external reporting process by reporting events and transactions according to the accounting classification elements established and within a given accounting period including providing the ability to automatically assign costs by a major program to facilitate preparation of the Statement of Net Costs in accordance with OMB's current Bulletin on Form and Content of Agency Financial Statements.	Source: JFMIPSR-01-01,pg34; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Reporting_018
Reporting	16.03.019	D - Authoritative Source/Reference Deleted	DELETED: The benefit system must support the benefit external reporting process by maintaining the information required for program performance, financial performance, and financial management performance measures needed for budgeting, program management, and financial statement presentation.	Source: ; Source Date:	Requirement Not Covered by TFM Guidance		Benefits_Reporting_019
Reporting	16.03.020		The benefit system must support the benefit external reporting process by providing the capability to produce all relevant reports, statements, or returns required by the IRS, including producing year-end 1099 statements.	Source: JFMIPSR-01-01,pg34; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Reporting_020
Reporting	16.03.021		The benefit system must support the benefit external reporting process by allowing a user to request a reissued tax statement for current or previous year.	Source: JFMIPSR-01-01,pg34; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Reporting_021

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Chapter	Req Id	Change Type	Requirement	Sources	Treasury's Federal Financial Management Systems Function Guidance (See Appendix A-5)	Treasury's Federal Financial Management Systems Requirement (See Appendix A-5)	DFMIG Rule Name
Reporting	16.03.022	D - Authoritative Source/Reference Deleted	DELETED: The benefit system should support the benefit external reporting process by providing for an automated methodology of data manipulation and data exportation.	Source: ; Source Date:	Requirement Not Covered by TFM Guidance		Benefits_Reporting_022
Reporting	16.03.023		The benefit system must support the benefit external reporting process by providing for automatic translation of foreign currency payments based on the exchange rate specified by the user.	Source: JFMIPSR-01-01,pg34; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Reporting_023
Reporting	16.03.024		The benefit system must support the benefit external reporting process by allowing a user to request a reissued tax statement for previous 3 prior years.	Source: JFMIPSR-01-01,pg34; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Reporting_024
Reporting	16.03.025	D - Authoritative Source/Reference Deleted	DELETED: The benefit system must support the benefit internal reporting process by providing for the production of formatted reports. The system must allow for the reformatting of reports to present different sorts of information, the presentation of only specific information in the format selected, the summarization of data, and the modification of report formats to tailor the reports to the specific requirements of the user.	Source: ; Source Date:	Requirement Not Covered by TFM Guidance		Benefits_Reporting_025

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Chapter	Req Id	Change Type	Requirement	Sources	Treasury's Federal Financial Management Systems Function Guidance (See Appendix A-5)	Treasury's Federal Financial Management Systems Requirement (See Appendix A-5)	DFMIG Rule Name
Reporting	16.03.026		The benefit system must support the benefit internal reporting process by providing for program cost accounting data to support reporting requirements specified in OMB's Form and Content of Agency Financial Statements, and the decision-making process in managing agency programs. This will include: a) cost reports to be utilized in the analysis of programmatic activities; b) schedules and operating statements based on the accounting classification elements and agency criteria; c) meaningful cost information needed to support performance measures; d) ability to transfer cost information directly to and from other systems.	Source: JFMIPSR-01-01,pg34; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Reporting_026
Reporting	16.03.027		The benefit system must support the benefit internal reporting process by providing cost information for comparison to other program data to determine compliance with planned budgeted activities.	Source: JFMIPSR-01-01,pg35; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Reporting_027
Reporting	16.03.028		The benefit system must support the benefit internal reporting process by supporting the use of historical data to conduct variance analysis.	Source: JFMIPSR-01-01,pg35; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Reporting_028

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Chapter	Req Id	Change Type	Requirement	Sources	Treasury's Federal Financial Management Systems Function Guidance (See Appendix A-5)	Treasury's Federal Financial Management Systems Requirement (See Appendix A-5)	DFMIG Rule Name
Reporting	16.03.029		The benefit system must support the benefit internal interface if an agency's financial management system architecture is configured so that the major functions described herein are performed entirely by the benefit module, provide capability to interface with agency core accounting system to record the accounting impact of all financial activity at the U.S. SGL level, on a daily basis, to support consolidated financial reporting.	Source: JFMIPSR-01-01,pg36; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Reporting_029
Reporting	16.03.030		The benefit system must support the benefit internal interface by providing standard input record format(s) for interface of transactions from other systems to the benefits system and subject all transactions from interfacing systems to the benefits financial system edits, validations, and error correction procedures. Erroneous transactions must be maintained and tracked until corrected, posted, or deleted by an authorized user.	Source: JFMIPSR-01-01,pg36; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Reporting_030

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Chapter	Req Id	Change Type	Requirement	Sources	Treasury's Federal Financial Management Systems Function Guidance (See Appendix A-5)	Treasury's Federal Financial Management Systems Requirement (See Appendix A-5)	DFMIG Rule Name
Reporting	16.03.031		The benefit system must support the benefit internal interface when a major benefit system function(s) such as payment administration or recovery receivable management is performed by the core system, the benefit system must provide capability to interface with agency's core accounting system to automatically update detailed claimant related information (e.g. individual payable and receivable records) that is maintained in the core system.	Source: JFMIPSR-01-01,pg36; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Reporting_031
Reporting	16.03.032	D - Authoritative Source/Reference Deleted	DELETED: The benefit system must support the benefit internal interfacing process by providing the capability to interface to the agency's cost accounting system.	Source: ; Source Date:	Requirement Not Covered by TFM Guidance		Benefits_Reporting_032
Reporting	16.03.033	D - Authoritative Source/Reference Deleted	DELETED: The benefit system must support the access to benefit information process by allowing for the information contained in the system to be queried and present detailed data as requested. This will include user-defined criteria to access data for open or closed accounting periods.	Source: ; Source Date:	Requirement Not Covered by TFM Guidance		Benefits_Reporting_033
Reporting	16.03.034	D - Authoritative Source/Reference Deleted	DELETED: The benefit system must support the access to benefit information process by providing users with access to on-line guidance or help for performing system functions.	Source: ; Source Date:	Requirement Not Covered by TFM Guidance		Benefits_Reporting_034

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Chapter	Req Id	Change Type	Requirement	Sources	Treasury's Federal Financial Management Systems Function Guidance (See Appendix A-5)	Treasury's Federal Financial Management Systems Requirement (See Appendix A-5)	DFMIG Rule Name
Reporting	16.03.035		The benefit system must support the access to benefit information process by displaying a message indicating the transaction cannot be completed and further research is required if a claimant's or claim holder's record is not located via query.	Source: JFMIPSR-01-01,pg39,40; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Reporting_035
Reporting	16.03.036	D - Authoritative Source/Reference Deleted	DELETED: The benefit system must support the access to benefit information process by presenting information resulting from system queries in a formatted fashion to facilitate the understanding of the information by non-technical users, and unformatted for more technically proficient users.	Source: ; Source Date:	Requirement Not Covered by TFM Guidance		Benefits_Reporting_036
Reporting	16.03.037	D - Authoritative Source/Reference Deleted	DELETED: The benefit system must support the access to benefit information process by providing the capability to access historical data records that are removed from on-line viewing, including items such as individual benefit payments, receivable, and collection data.	Source: ; Source Date:	Requirement Not Covered by TFM Guidance		Benefits_Reporting_037
Reporting	16.03.038	D - Authoritative Source/Reference Deleted	DELETED: The benefit system must support the access to benefit information process by allowing users to design extract files for their specific requirements, and perform table look-ups and expansion of codes when needed to clarify the information contained within the results of system queries or reports.	Source: ; Source Date:	Requirement Not Covered by TFM Guidance		Benefits_Reporting_038

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Chapter	Req Id	Change Type	Requirement	Sources	Treasury's Federal Financial Management Systems Function Guidance (See Appendix A-5)	Treasury's Federal Financial Management Systems Requirement (See Appendix A-5)	DFMIG Rule Name
Reporting	16.03.039		The benefit system must support the access to benefit information process by providing features to facilitate verification (i.e., matching programs) using information extracted (see above) from the benefit system for comparison against benefit programs administered by other agencies when legally permitted.	Source: JFMIPSR-01-01,pg39,40; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Reporting_039
Reporting	16.03.040	D - Authoritative Source/Reference Deleted	DELETED: The benefit system must support the access to benefit information process by facilitating the analysis of information contained in the system by allowing analysts to obtain report information and the results of system queries in data files that can be transferred to other applications or analytical tools.	Source: ; Source Date:	Requirement Not Covered by TFM Guidance		Benefits_Reporting_040
Reporting	16.03.041	D - Authoritative Source/Reference Deleted	DELETED: The benefit system must support the access to benefit information process by providing for easy access to historical files for comparative, analytical, and trend information.	Source: ; Source Date:	Requirement Not Covered by TFM Guidance		Benefits_Reporting_041
Reporting	16.03.042	D - Authoritative Source/Reference Deleted	DELETED: The benefit system should support the access to benefit information process by providing for self-service claimant initiated Web browser requests pertaining to account specific matters.	Source: ; Source Date:	Requirement Not Covered by TFM Guidance		Benefits_Reporting_042

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Chapter	Req Id	Change Type	Requirement	Sources	Treasury's Federal Financial Management Systems Function Guidance (See Appendix A-5)	Treasury's Federal Financial Management Systems Requirement (See Appendix A-5)	DFMIG Rule Name
Reporting	16.03.043		The system must provide the functionality to display gains and losses from changes in long-term assumptions used to measure liabilities for federal civilian and military employee pensions, other retirement benefits (ORB), and other postemployment benefits (OPEB), including veterans' compensation, as a separate line item or line items on the statement of net costs.	Source: SFFAS33,20; Source Date: 10/1/2008	FFMIA Financial Management Goal_1.2	Provide timely and reliable Federal financial management information of appropriate form and content to agency program managers for managing current Government programs and activities.	Benefits_Reporting_043
Reporting	16.03.044		The system must have the functionality to allow component entities that report pension, other retirement benefits (ORB), or other postemployment benefits (OPEB) liabilities to display a discrete line item for gains and losses from changes in assumptions on its statement of net cost when the conditions in Paragraph 19-20 in SFFAS 33 (dated October 14, 2008) are met. Component entities reporting only the normal or service cost should not display such gains and losses.	Source: SFFAS33,21; Source Date: 10/1/2008	FFMIA Financial Management Goal_1.2	Provide timely and reliable Federal financial management information of appropriate form and content to agency program managers for managing current Government programs and activities.	Benefits_Reporting_044
Reporting	16.03.045		The system must have the functionality to allow component entities to disclose in notes to the financial statements a reconciliation of beginning and ending pension, other retirement benefits (ORB), and other postemployment benefits (OPEB) liability balances.	Source: SFFAS33,22; Source Date: 10/1/2008	FFMIA Financial Management Goal_1.2	Provide timely and reliable Federal financial management information of appropriate form and content to agency program managers for managing current Government programs and activities.	Benefits_Reporting_045

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Chapter	Req Id	Change Type	Requirement	Sources	Treasury's Federal Financial Management Systems Function Guidance (See Appendix A-5)	Treasury's Federal Financial Management Systems Requirement (See Appendix A-5)	DFMIG Rule Name
Reporting	16.03.046		The system must have the functionality to provide all material components of pension, other retirement benefits (ORB), or other postemployment benefits (OPEB) expense in the reconciliation consistent with the components identified in the table at SFFAS 33, Paragraph 22 (dated October 14, 2008). The line item for actuarial gains and losses should be broken out into the sub-components from experience and from assumptions changes. Significant pension, ORB, and OPEB programs should be presented individually in a separate column along with an all other column, if applicable, and a total column for each line item.	Source: SFFAS33,23; Source Date: 10/1/2008	FFMIA Financial Management Goal_1.2	Provide timely and reliable Federal financial management information of appropriate form and content to agency program managers for managing current Government programs and activities.	Benefits_Reporting_046
Reporting	16.03.047		The system must have the functionality to allow component entities that report pension, other retirement benefits (ORB), or other postemployment benefits (OPEB) liabilities to disclose the information required in Paragraph 22 of SFFAS 33 (dated October 14, 2008). Component entities reporting only the normal or service cost should not disclose the information required in Paragraph 22.	Source: SFFAS33,24; Source Date: 10/1/2008	FFMIA Financial Management Goal_1.2	Provide timely and reliable Federal financial management information of appropriate form and content to agency program managers for managing current Government programs and activities.	Benefits_Reporting_047

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Chapter	Req Id	Change Type	Requirement	Sources	Treasury's Federal Financial Management Systems Function Guidance (See Appendix A-5)	Treasury's Federal Financial Management Systems Requirement (See Appendix A-5)	DFMIG Rule Name
Reporting	16.03.048		The system must have the functionality to allow component entities holding non-Treasury securities as assets to fund their pension, other retirement benefits (ORB), or other postemployment benefits (OPEB) programs to disclose the rates of return, specific maturities, and allocation by type (stocks, bonds, etc.) of such assets.	Source: SFFAS33,25; Source Date: 10/1/2008	FFMIA Financial Management Goal_1.2	Provide timely and reliable Federal financial management information of appropriate form and content to agency program managers for managing current Government programs and activities.	Benefits_Reporting_048
Reporting	16.03.049		The system must have the functionality to allow the government-wide entity to display gains and losses from changes in assumptions as a separate line item or line items on the statement of net cost after a subtotal for all other costs and before total cost.	Source: SFFAS33,26; Source Date: 10/1/2008	FFMIA Financial Management Goal_1.2	Provide timely and reliable Federal financial management information of appropriate form and content to agency program managers for managing current Government programs and activities.	Benefits_Reporting_049
Reporting	16.03.050		The system must have the functionality to allow the government-wide entity to disclose in the notes to the financial statements a reconciliation consistent with information required in paragraph 22 of SFFAS 33 (dated October 14, 2008) for pension, other retirement benefits (ORB) or other postemployment benefits (OPEB) liabilities.	Source: SFFAS33,27; Source Date: 10/1/2008	FFMIA Financial Management Goal_1.2	Provide timely and reliable Federal financial management information of appropriate form and content to agency program managers for managing current Government programs and activities.	Benefits_Reporting_050

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Chapter	Req Id	Change Type	Requirement	Sources	Treasury's Federal Financial Management Systems Function Guidance (See Appendix A-5)	Treasury's Federal Financial Management Systems Requirement (See Appendix A-5)	DFMIG Rule Name
Reporting	16.03.051		The system must have the functionality to allow the discount rates as of the reporting date for present value measurements of pension, other retirement benefits (ORB) and other postemployment benefits (OPEB) liabilities to be based on interest rates on marketable Treasury securities with maturities consistent with the cash flows being discounted. The discount rates should be matched with the expected timing of the associated expected cash flow. Thus, cash flows projected in each period should have a discount rate associated with them. However, one discount rate may be used for all projected future cash flows if the resulting present value is not materially different than the resulting present value using multiple rates. A change to or from multiple rates from or to a single rate should be disclosed.	Source: SFFAS33,28; Source Date: 10/1/2008	FFMIA Financial Management Goal_1.2	Provide timely and reliable Federal financial management information of appropriate form and content to agency program managers for managing current Government programs and activities.	Benefits_Reporting_051
Reporting	16.03.052		The system must provide the functionality to allow the discount rates as of the reporting date to reflect average historical rates on marketable Treasury securities.	Source: SFFAS33,29; Source Date: 10/1/2008	FFMIA Financial Management Goal_1.2	Provide timely and reliable Federal financial management information of appropriate form and content to agency program managers for managing current Government programs and activities.	Benefits_Reporting_052

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Chapter	Req Id	Change Type	Requirement	Sources	Treasury's Federal Financial Management Systems Function Guidance (See Appendix A-5)	Treasury's Federal Financial Management Systems Requirement (See Appendix A-5)	DFMIG Rule Name
Reporting	16.03.053		The system must provide the functionality to allow for a minimum of five historical rates as of the reporting date to be used for each maturity in developing average historical Treasury rates. The historical rates used to calculate the average should be sequential (e.g. 2003-2007).	Source: SFFAS33,30; Source Date: 10/1/2008	FFMIA Financial Management Goal_1.2	Provide timely and reliable Federal financial management information of appropriate form and content to agency program managers for managing current Government programs and activities.	Benefits_Reporting_053
Reporting	16.03.054		The system must have the functionality to verify that the numbers of historical rates used in the calculation of the average are consistent from period to period. The entity's accounting policy disclosures should include its policy regarding consistency from one reporting period to the next.	Source: SFFAS33,31; Source Date: 10/1/2008	FFMIA Financial Management Goal_1.2	Provide timely and reliable Federal financial management information of appropriate form and content to agency program managers for managing current Government programs and activities.	Benefits_Reporting_054
Reporting	16.03.055		The system must have the functionality to allow a user to interpolate or extrapolate interest rates from historical Treasury rates when Treasury securities are not available or the cash flows that are projected to occur in future years are expected beyond the maturities at which Treasury securities are available.	Source: SFFAS33,32; Source Date: 10/1/2008	FFMIA Financial Management Goal_1.2	Provide timely and reliable Federal financial management information of appropriate form and content to agency program managers for managing current Government programs and activities.	Benefits_Reporting_055

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Chapter	Req Id	Change Type	Requirement	Sources	Treasury's Federal Financial Management Systems Function Guidance (See Appendix A-5)	Treasury's Federal Financial Management Systems Requirement (See Appendix A-5)	DFMIG Rule Name
Reporting	16.03.056		The system must be able to measure estimates of pension, other retirement benefits (ORB), and other postemployment benefits (OPEB) liability and expense in general purpose federal financial reports as of the end of the fiscal year (or other reporting period if applicable). The system should allow measurements based on an actuarial valuation to be performed as of an earlier date during the fiscal year, including the beginning of the year, with adjustments for the effects of changes during the year in major factors such as the pay raise and cost of living adjustment.	Source: SFFAS33,33; Source Date: 10/1/2008	FFMIA Financial Management Goal_1.2	Provide timely and reliable Federal financial management information of appropriate form and content to agency program managers for managing current Government programs and activities.	Benefits_Reporting_056
Reporting	16.03.057		The system must have the functionality to allow the valuation date in the full actuarial valuation utilized by the entity to be consistently followed from year to year.	Source: SFFAS33,34; Source Date: 10/1/2008	FFMIA Financial Management Goal_1.2	Provide timely and reliable Federal financial management information of appropriate form and content to agency program managers for managing current Government programs and activities.	Benefits_Reporting_057
Quality Assurance and Maintenance	16.04.001		The benefit system must provide capabilities to select benefit claims based on agency program evaluation criteria (such as attainment of certain dollar thresholds - cumulative and per payment, excessive length of benefit payment life) for subsequent review.	Source: JFMIPSR-01-01,pg37; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Quality_Assurance_And_Maintenance_001

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Chapter	Req Id	Change Type	Requirement	Sources	Treasury's Federal Financial Management Systems Function Guidance (See Appendix A-5)	Treasury's Federal Financial Management Systems Requirement (See Appendix A-5)	DFMIG Rule Name
Quality Assurance and Maintenance	16.04.002		The benefit system must provide the ability to monitor and update a claimant's master records to reflect changes in circumstances affecting a claimant's eligibility or the amount of payment.	Source: JFMIPSR-01-01,pg37; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Quality_Assurance_And_Maintenance_002
Quality Assurance and Maintenance	16.04.003		The benefit system must provide capabilities to capture claimant (or relative) reported matters such as: a) death; b) change in amount of earned/unearned income; c) change of residence; d) marriage, divorce, or separation; e) absence from the U.S.; f) change in disability condition; g) eligibility for other benefits; h) changes in net worth/resources of claimant; i) change in school attendance; j) change in composition of household; k) change in citizenship or alien status.	Source: JFMIPSR-01-01,pg37,38; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Quality_Assurance_And_Maintenance_003
Quality Assurance and Maintenance	16.04.004	D - Authoritative Source/Reference Deleted	DELETED: The benefit system must provide for the recording of notes or comments by customer service representatives and associate with the claimant's master record, and maintain a history of notes taken.	Source: ; Source Date:	Requirement Not Covered by TFM Guidance		Benefits_Quality_Assurance_And_Maintenance_004
Quality Assurance and Maintenance	16.04.005		The benefit system must provide capabilities to record the method used to independently verify the death of a claimant before terminating benefits (phone contact, signature verification, or personal contact with next of kin).	Source: JFMIPSR-01-01,pg38; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Quality_Assurance_And_Maintenance_005

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Quality Assurance and Maintenance	16.04.006		The benefit system must provide the ability to maintain a schedule of continuing disability reviews (when applicable) at the individual claim holder level, and maintain the status of the schedule within the claimant's master record.	Source: JFMIPSR-01-01,pg38; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Quality_Assurance_And_Maintenance_006
Quality Assurance and Maintenance	16.04.007		The benefit system must provide capabilities to track the status of appeal proceedings when a change is made affecting a current claimant's level of benefits.	Source: JFMIPSR-01-01,pg38; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Quality_Assurance_And_Maintenance_007
Quality Assurance and Maintenance	16.04.008		The benefit system must provide features to record termination of benefit payment including: a) event/reason for termination (e.g., death, increase in income) b) date of event (thus effective date of benefit cessation).	Source: JFMIPSR-01-01,pg38; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Quality_Assurance_And_Maintenance_008
Quality Assurance and Maintenance	16.04.009		The benefit system must provide features to determine if benefits are commensurate with claimant's gender.	Source: JFMIPSR-01-01,pg38; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Quality_Assurance_And_Maintenance_009
Quality Assurance and Maintenance	16.04.010		The benefit system must have the ability to select benefit payment calculations based on statistical sampling techniques for the purpose of verifying calculations.	Source: JFMIPSR-01-01,pg38; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Quality_Assurance_And_Maintenance_010
Quality Assurance and Maintenance	16.04.011	D - Authoritative Source/Reference Deleted	DELETED: The benefit system should provide the ability to generate a random sample of claimants to periodically survey regarding their satisfaction with the agency's benefit payment claims process (from initial contact with agency to receipt of benefit payment).	Source: ; Source Date:	Requirement Not Covered by TFM Guidance		Benefits_Quality_Assurance_And_Maintenance_011

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Quality Assurance and Maintenance	16.04.012		The benefit system must allow users to suspend payment to claimants, when fraud is suspected, or death has been reported but not yet verified by an authoritative source (e.g., Social Security Administration (SSA)).	Source: JFMIPSR-01-01,pg38; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Quality_Assurance_And_Maintenance_012
Quality Assurance and Maintenance	16.04.013		The benefit system must support the benefit offset process by providing ability to report overdue receivables with associated SSN or TIN data to Treasury for possible offset.	Source: JFMIPSR-01-01,pg29; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Quality_Assurance_And_Maintenance_013
Quality Assurance and Maintenance	16.04.014		The benefit system must allow users to cancel a previously recorded suspected death on a claimant, and place the claimant back in a payment status if appropriate.	Source: JFMIPSR-01-01,pg38; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Quality_Assurance_And_Maintenance_014
Quality Assurance and Maintenance	16.04.015		The benefit system must support the benefit payee information maintenance process by maintaining payee information that includes data to support obligation, accounts payable, and disbursement processes.	Source: JFMIPSR-01-01,pg19; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Quality_Assurance_And_Maintenance_015
Quality Assurance and Maintenance	16.04.016		The benefit system must support the benefit payee information maintenance process by supporting payments made to parties that act as an agent for the payee without changing permanent claimant information that is maintained in the claimant's master record such as the claimant's name, address or financial institution.	Source: JFMIPSR-01-01,pg19; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Quality_Assurance_And_Maintenance_016

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Quality Assurance and Maintenance	16.04.017		The benefit system must support the benefit payee information maintenance process by allowing the user to capture the current tax status, number of exemptions, and other information requested on applicable IRS withholding forms (i.e., W-4P, W-4S, and W-4V).	Source: JFMIPSR-01-01,pg19; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Quality_Assurance_And_Maintenance_017
Quality Assurance and Maintenance	16.04.018		The benefit system must support the benefit payee information maintenance process by maintaining detailed information for each payee to comply with IRS reporting requirements. In cases where payments are made to third parties who are serving in a fiduciary capacity, maintain 1099 information for the principal party rather than the agent.	Source: JFMIPSR-01-01,pg19; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Quality_Assurance_And_Maintenance_018
Quality Assurance and Maintenance	16.04.019		The benefit system must support the benefit payee information maintenance process by providing the capability to automatically update payee records to reflect automated clearing house (ACH) or electronic funds transfer (EFT) related changes.	Source: JFMIPSR-01-01,pg19; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Quality_Assurance_And_Maintenance_019
Quality Assurance and Maintenance	16.04.020		The benefit system must support the benefit payee information maintenance process by providing search capability for payee information (e.g., payee name).	Source: JFMIPSR-01-01,pg19; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Quality_Assurance_And_Maintenance_020

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Quality Assurance and Maintenance	16.04.021		The benefit system must support the benefit payee information maintenance process by producing payee listings based on agency-defined criteria, (e.g., payee name and SSN.)	Source: JFMIPSR-01-01,pg19; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Quality_Assurance_And_Maintenance_021
Quality Assurance and Maintenance	16.04.022		The benefit system must support the benefits payee maintenance process by maintaining as part of the claimant's master record a complete history, of all financial transactions executed for each payee receiving benefits under the claim holder's master record. This includes payments, and collections, waivers , or offsets resulting from overpayments.	Source: JFMIPSR-01-01,pg20; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Quality_Assurance_And_Maintenance_022
Quality Assurance and Maintenance	16.04.023		The benefit system must support the benefit payee maintenance process by making the financial transaction history data of the most recent 18 months available for online query and review for all active payees.	Source: JFMIPSR-01-01,pg20; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Quality_Assurance_And_Maintenance_023
Quality Assurance and Maintenance	16.04.024		The benefit system must support the benefit payee information maintenance process by maintaining deposit account information on at least two financial institutions for a single payee.	Source: JFMIPSR-01-01,pg20; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Quality_Assurance_And_Maintenance_024
Quality Assurance and Maintenance	16.04.025		The benefit system must support the benefit funds availability editing process by allowing for recording of funding transactions including recording internal allocations or allotments from within the agency.	Source: JFMIPSR-01-01,pg21; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Quality_Assurance_And_Maintenance_025

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Quality Assurance and Maintenance	16.04.026		The benefit system must support the benefit funds availability editing process by including adequate controls to prevent the recording of obligations or expenditure transactions that exceed available balances. The system must also support recording obligations or expenditures that exceed available balances based on proper management approval (i.e., provide the capabilities and controls for authorized users to override funds availability edits).	Source: JFMIPSR-01-01,pg21; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Quality_Assurance_And_Maintenance_026
Quality Assurance and Maintenance	16.04.027		The benefit system must support the benefit funds availability editing process by providing for designated authorities to establish and modify the system's response (either reject transaction or provide warning) to the failure of a funds availability edit.	Source: JFMIPSR-01-01,pg21; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Quality_Assurance_And_Maintenance_027
Quality Assurance and Maintenance	16.04.028		The benefit system must support the benefit funds availability editing process by recording the financial impact of all transactions that affect the availability of funds, such as commitments, obligations, expenditures and the establishment of, and collections against recovery receivables.	Source: JFMIPSR-01-01,pg21; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Quality_Assurance_And_Maintenance_028

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Quality Assurance and Maintenance	16.04.029		The benefit system must support the benefit funds availability editing process by automatically updating all appropriate budgetary tables and ledger account balances (when applicable) to ensure that the system always maintains and reports the current status of funds for all open accounting periods.	Source: JFMIPSR-01-01,pg21; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Quality_Assurance_And_Maintenance_029
Quality Assurance and Maintenance	16.04.030		The benefit system must support the benefit funds availability editing process by providing for designated authorities to establish and modify the level of funds control using elements of the classification structure, including object class, program, organization, project, and fund.	Source: JFMIPSR-01-01,pg21; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Quality_Assurance_And_Maintenance_030
Quality Assurance and Maintenance	16.04.031		The benefit system must support the benefit funds availability editing process by providing the ability to produce an on-line status of funds report down to the lowest level of the organizational structure. The report should include: amounts available/allotted, open commitments, open obligations, expenditures, and balance available.	Source: JFMIPSR-01-01,pg21; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Quality_Assurance_And_Maintenance_031
Quality Assurance and Maintenance	16.04.032		The benefit system must support the benefit commitment process by allowing commitment transactions to be entered on-line and from multiple locations.	Source: JFMIPSR-01-01,pg21,22; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Quality_Assurance_And_Maintenance_032

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Quality Assurance and Maintenance	16.04.033		The benefit system must support the benefit commitment process by maintaining information related to each commitment transaction. At a minimum, the system should capture accounting classification elements, and estimated amounts.	Source: JFMIPSR-01-01,pg21,22; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Quality_Assurance_And_Maintenance_033
Quality Assurance and Maintenance	16.04.034		The benefit system must support the benefit commitment process by providing for modifications to commitment transactions, including ones that change the dollar amount or the accounting classification elements cited.	Source: JFMIPSR-01-01,pg21,22; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Quality_Assurance_And_Maintenance_034
Quality Assurance and Maintenance	16.04.035		The benefit system must support the benefit commitment process by allowing for commitment transactions to be future-dated, stored, and posted at the appropriate date.	Source: JFMIPSR-01-01,pg21,22; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Quality_Assurance_And_Maintenance_035
Quality Assurance and Maintenance	16.04.036		The benefit system must support the benefit commitment process by closing open commitments under the following circumstances: (1) by the system upon issuance of an obligating document, (2) by an authorized user, and (3) as part of the year-end closing if the commitment is in an annual appropriation or in the last year of a multi-year appropriation.	Source: JFMIPSR-01-01,pg21,22; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Quality_Assurance_And_Maintenance_036
Quality Assurance and Maintenance	16.04.037	D - Authoritative Source/Reference Deleted	DELETED: The benefit system should support the benefit commitment process by providing the capability to support commitment accounting based on agency needs.	Source: ; Source Date:	Requirement Not Covered by TFM Guidance		Benefits_Quality_Assurance_And_Maintenance_037

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Quality Assurance and Maintenance	16.04.038		The benefit system must support the benefit obligation and expenditure activity by updating budgetary tables to reflect obligations based on obligating documents and liquidate, at the user's request, the related commitments, either partially or fully.	Source: JFMIPSR-01-01,pg22; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Quality_Assurance_And_Maintenance_038
Quality Assurance and Maintenance	16.04.039		The benefit system must support the benefit obligation and expenditure activity by updating budgetary tables to reflect obligations for which there is no related commitment.	Source: JFMIPSR-01-01,pg22; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Quality_Assurance_And_Maintenance_039
Quality Assurance and Maintenance	16.04.040		The benefit system must support the benefit obligation and expenditure activity by maintaining information related to each obligation document, including amendments. At a minimum, the system must capture the obligating document number and type; and other identification, including benefit payee Social Security Number (SSN) or Taxpayer Identification Number (TIN), accounting classification elements as appropriate; referenced commitment (if applicable); and dollar amounts.	Source: JFMIPSR-01-01,pg22; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Quality_Assurance_And_Maintenance_040
Quality Assurance and Maintenance	16.04.041		The benefit system must support the benefit obligation and expenditure activity by allowing obligation documents to be entered on-line and from multiple locations.	Source: JFMIPSR-01-01,pg22; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Quality_Assurance_And_Maintenance_041

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Quality Assurance and Maintenance	16.04.042		The benefit system must support the benefit obligation and expenditure activity by allowing authorized modifications and cancellations of posted obligation documents.	Source: JFMIPSR-01-01,pg22; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Quality_Assurance_And_Maintenance_042
Quality Assurance and Maintenance	16.04.043		The benefit system must support the benefit obligation and expenditure activity by providing on-line access to all unliquidated obligations by selection criteria, (e.g., document number.)	Source: JFMIPSR-01-01,pg22; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Quality_Assurance_And_Maintenance_043
Quality Assurance and Maintenance	16.04.044		The benefit system must support the benefit obligation and expenditure activity by maintaining an on-line history file of closed-out documents for a user-defined period of time.	Source: JFMIPSR-01-01,pg22; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Quality_Assurance_And_Maintenance_044
Quality Assurance and Maintenance	16.04.045		The benefit system must support the benefit obligation and expenditure activity by closing open obligating documents to accommodate both of the following circumstances: (1) automatically when reclassifying an unliquidated obligation to an expenditure, or (2) by an authorized user.	Source: JFMIPSR-01-01,pg22; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Quality_Assurance_And_Maintenance_045
Quality Assurance and Maintenance	16.04.046		The benefit system must support the benefit obligation and expenditure activity by allowing multiple commitments to be combined into one obligation or expenditure document and one commitment document to be split into various obligating or expenditure documents.	Source: JFMIPSR-01-01,pg22; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Quality_Assurance_And_Maintenance_046

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Quality Assurance and Maintenance	16.04.047		The benefit system must support the benefit payment confirmation and follow-up process by maintaining history of every payment by the benefits system, including authorizing document number, payment schedule number, payment date, payment address, and TAFS charged.	Source: JFMIPSR-01-01,pg25; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Quality_Assurance_And_Maintenance_047
Quality Assurance and Maintenance	16.04.048	D - Authoritative Source/Reference Deleted	DELETED: The benefit system must support the benefit payment confirmation and follow-up process by providing on-line access to claimant and payment information.	Source: ; Source Date:	Requirement Not Covered by TFM Guidance		Benefits_Quality_Assurance_And_Maintenance_048
Quality Assurance and Maintenance	16.04.049	D - Authoritative Source/Reference Deleted	DELETED: The benefit system must support the benefit payment confirmation and follow-up process by providing on-line access to open documents based on agency selection criteria (e.g., SSN).	Source: ; Source Date:	Requirement Not Covered by TFM Guidance		Benefits_Quality_Assurance_And_Maintenance_049
Quality Assurance and Maintenance	16.04.050		The benefit system must support the benefit payment confirmation and follow-up process by producing IRS 1099s in IRS acceptable form (hard copy or magnetic tape) when required by IRS regulations (e.g., miscellaneous payments to individuals over \$600).	Source: JFMIPSR-01-01,pg25; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Quality_Assurance_And_Maintenance_050
Quality Assurance and Maintenance	16.04.051	D - Authoritative Source/Reference Deleted	DELETED: The benefit system must support the benefit recovery receivable servicing process by maintaining detailed information by account (i.e., individual) sufficient to provide audit trails and to support billing and research activities.	Source: ; Source Date:	Requirement Not Covered by TFM Guidance		Benefits_Quality_Assurance_And_Maintenance_051

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Quality Assurance and Maintenance	16.04.052	D - Authoritative Source/Reference Deleted	DELETED: The benefit system must support the benefit recovery receivable servicing process by providing on-line query capability to receivable and account information.	Source: ; Source Date:	Requirement Not Covered by TFM Guidance		Benefits_Quality_Assurance_And_Maintenance_052
Quality Assurance and Maintenance	16.04.053		The benefit system must support the benefit recovery receivable servicing process by providing information, on a summary basis and on individual receivables, on the age of receivables to allow for management and prioritization of collection activities.	Source: JFMIPSR-01-01,pg27; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Quality_Assurance_And_Maintenance_053
Quality Assurance and Maintenance	16.04.054		The benefit system must support the benefit recovery receivable servicing process by maintaining data for receivables referred to other Federal agencies and or outside organizations for collections.	Source: JFMIPSR-01-01,pg27; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Quality_Assurance_And_Maintenance_054
Quality Assurance and Maintenance	16.04.055		The benefit system must support the benefit recovery receivable servicing process by automatically initiating transactions to record the write-off of delinquent or uncollectible receivables (including interest, penalties, and administrative charges) based on user defined criteria, and maintain data to monitor closed accounts.	Source: JFMIPSR-01-01,pg27; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Quality_Assurance_And_Maintenance_055
Quality Assurance and Maintenance	16.04.056		The benefit system must support the benefit recovery receivable servicing process by producing dunning (collection) letters for overdue receivables in accordance with Treasury requirements and existing legislation.	Source: JFMIPSR-01-01,pg27; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Quality_Assurance_And_Maintenance_056

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Quality Assurance and Maintenance	16.04.057		The benefit system must support the benefit recovery receivable servicing process by providing authorized users with the ability to customize the dunning process parameters and dunning letter text.	Source: JFMIPSR-01-01,pg27; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Quality_Assurance_And_Maintenance_057
Quality Assurance and Maintenance	16.04.058		The benefit system must support the benefit recovery receivable servicing process by maintaining information needed to support reporting required by IRS.	Source: JFMIPSR-01-01,pg27; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Quality_Assurance_And_Maintenance_058
Quality Assurance and Maintenance	16.04.059		The benefit system must support the benefit recovery receivable servicing process by maintaining a history of billings and receipts for each receivable and account in accordance with agency requirements.	Source: JFMIPSR-01-01,pg28; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Quality_Assurance_And_Maintenance_059
Quality Assurance and Maintenance	16.04.060		The benefit system must support the benefit recovery receivable servicing process by providing for re-establishment of a receivable for checks returned due to insufficient funds.	Source: JFMIPSR-01-01,pg28; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Quality_Assurance_And_Maintenance_060
Quality Assurance and Maintenance	16.04.061		The benefit system must support the benefit collection process by providing the capability to display all active receivable accounts of record for the relative to the claimant in connection with recording deposits if a claimant's master record is located.	Source: JFMIPSR-01-01,pg28; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Quality_Assurance_And_Maintenance_061

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Quality Assurance and Maintenance	16.04.062	C - Authoritative Source/Reference Updated	The benefit system must support the benefit collection process by capturing whether the receivable has been petitioned and or discharged in connection with bankruptcy proceedings to facilitate compliance collection restrictions pertaining to such debts.	Source: DoDFMRVol8,Ch8,Su b0811; Source Date: 9/1/2012	FFMIA Financial Management Goal_2.3	Minimize waste, loss, unauthorized use, or misappropriation of Federal funds, property and other assets within resources available.	Benefits_Quality_Assurance_And_Maintenance_062
Quality Assurance and Maintenance	16.04.063		The benefit system must support the benefit account definition process by providing the capability to create additional sub-accounts to the general ledger for agency specific tracking and control.	Source: JFMIPSR-01-01,pg31; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Quality_Assurance_And_Maintenance_063
Quality Assurance and Maintenance	16.04.064		The benefit system must support the benefit transaction definition and processing activities by using standard transactions to record accounting events and to control the related updating of other information maintained in the system, such as values in tables (e.g., available funding).	Source: JFMIPSR-01-01,pg31; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Quality_Assurance_And_Maintenance_064
Quality Assurance and Maintenance	16.04.065		The benefit system must support the benefit transaction definition and processing activities by performing integrity checks on batches received via interfaces.	Source: JFMIPSR-01-01,pg31; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Quality_Assurance_And_Maintenance_065

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Quality Assurance and Maintenance	16.04.066		The benefit system must support the benefit transaction definition and processing activities by providing control over the correction and reprocessing of all erroneous transactions through the use of error file(s) and or suspense accounts. Erroneous transactions must be maintained and tracked until either corrected or posted or deleted at the specific request of an authorized user.	Source: JFMIPSR-01-01,pg32; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Quality_Assurance_And_Maintenance_066
Quality Assurance and Maintenance	16.04.067	D - Authoritative Source/Reference Deleted	DELETED: The benefit system must support the benefit transaction definition and processing activities by supporting management controls to ensure that transactions are processed in accordance with Government and agency-prescribed standards and procedures, the integrity of data in the system is maintained, and access is restricted to authorized users.	Source: ; Source Date:	Requirement Not Covered by TFM Guidance		Benefits_Quality_Assurance_And_Maintenance_067
Quality Assurance and Maintenance	16.04.068		The benefit system must support the benefit transaction definition and processing activities by providing transaction edits that control, at a minimum, fund availability, account structure, and tolerance levels between related transactions, such as between an obligation and its related accrual.	Source: JFMIPSR-01-01,pg32; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Quality_Assurance_And_Maintenance_068
Quality Assurance and Maintenance	16.04.069		The benefit system must support the benefit transaction definition and processing activities by providing controls to prevent the creation of duplicate transactions.	Source: JFMIPSR-01-01,pg32; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Quality_Assurance_And_Maintenance_069

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Quality Assurance and Maintenance	16.04.070	D - Authoritative Source/Reference Deleted	DELETED: The benefit system should support the benefit audit trails process by providing audit trails to trace transactions from source documents, original input, other systems, system-generated transactions, and internal assignment transactions through the system. Provide transaction details to support account balances.	Source: ; Source Date:	Requirement Not Covered by TFM Guidance		Benefits_Quality_Assurance_And_Maintenance_070
Quality Assurance and Maintenance	16.04.071	D - Authoritative Source/Reference Deleted	DELETED: The benefit system must support the benefit audit trails process by providing audit trails to trace source documents and transactions through successive levels of summarization to the financial statements and the reverse.	Source: ; Source Date:	Requirement Not Covered by TFM Guidance		Benefits_Quality_Assurance_And_Maintenance_071
Quality Assurance and Maintenance	16.04.072	D - Authoritative Source/Reference Deleted	DELETED: The benefit system must support the benefit audit trails process by providing audit trails to identify changes made to system parameters and tables that would affect the processing or reprocessing of any financial transactions.	Source: ; Source Date:	Requirement Not Covered by TFM Guidance		Benefits_Quality_Assurance_And_Maintenance_072
Quality Assurance and Maintenance	16.04.074	D - Authoritative Source/Reference Deleted	DELETED: The benefit system must support the benefit audit trails process by providing audit trails that identify document input, change, approval, and deletions by originator.	Source: ; Source Date:	Requirement Not Covered by TFM Guidance		Benefits_Quality_Assurance_And_Maintenance_073
Quality Assurance and Maintenance	16.04.075		The benefit system must support the benefit audit trails process by providing the capability to record the user ID, date, and time updated for each transaction affecting the general and subsidiary ledger accounts.	Source: JFMIPSR-01-01,pg32,33; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Quality_Assurance_And_Maintenance_074

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Quality Assurance and Maintenance	16.04.076	D - Authoritative Source/Reference Deleted	DELETED: The benefit system must provide for back up and recovery of the system per relevant Office of Management and Budget (OMB) Circulars.	Source: ; Source Date:	Requirement Not Covered by TFM Guidance		Benefits_Quality_Assurance_And_Maintenance_075
Quality Assurance and Maintenance	16.04.077		The benefit system must maintain the present interest rate per the Department of the Treasury.	Source: JFMIPSR-01-01,pg46; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Quality_Assurance_And_Maintenance_076
Quality Assurance and Maintenance	16.04.078		The benefit system must maintain the daily exchange rate for relevant currencies.	Source: JFMIPSR-01-01,pg47; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Quality_Assurance_And_Maintenance_077
Payment Warehousing	16.05.001	C - Authoritative Source/Reference Updated	The benefit system must support the benefit payment warehousing process by having the ability to determine whether the benefit payment is a non-recurring payment.	Source: DoDFMRVol8,Ch1,Su b0103; Source Date: 5/1/2013	2.2.2.3_Making Payments_DO	Provide certified payment transaction information for the disbursing office to make disbursements as specified in the TFM.	Benefits_Payment_Warehousing_001
Payment Warehousing	16.05.002		The benefit system must support the benefit payment warehousing process by automatically determining the due date and amount of benefit payments.	Source: JFMIPSR-01-01,pg20; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Payment_Warehousing_002
Payment Warehousing	16.05.003		The benefit system must support the benefit payment warehousing process by reestablishing payables for voided checks, or EFTs that were not successful and allowing for reversing the accounting transaction leading to the disbursement and reestablishment of a payable, as appropriate.	Source: JFMIPSR-01-01,pg20; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Payment_Warehousing_003

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Payment Warehousing	16.05.004		The benefit system must support the benefit payment warehousing process by allowing anticipated obligation or expenditure transactions so that documents may be entered early, stored, and posted at the appropriate date. The benefit system must also subject these documents to edit and validation procedures prior to posting. If the anticipated obligation does not occur, permit the user to delete the transaction without posting it.	Source: JFMIPSR-01-01,pg20; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Payment_Warehousing_004
Interface Requirements	16.06.001	D - Authoritative Source/Reference Deleted	DELETED: The benefit system should support the benefit internal reporting process by providing the capability for a two-way interface with the core system for purposes of funds control and funds availability verification.	Source: ; Source Date:	Requirement Not Covered by TFM Guidance		Benefits_Interface_Requirements_001
Interface Requirements	16.06.002	C - Authoritative Source/Reference Updated	The benefit system must support the benefit external interface function by providing capabilities allowing interface with central agency financial systems such as Department of the Treasury FMS and Internal Revenue Service (IRS) Systems, Office of Management and Budget (OMB), and Office of Personnel Management (OPM) systems according to the standards established by these oversight and regulatory agencies.	Source: TFMVol1,Pt2,Ch4700, Sec4707; Source Date: 9/1/2013	FFMIA Financial Management Goal_1.1	Consistently, completely, and accurately record and account for Federal funds, assets, liabilities, revenues, expenditures, and costs.	Benefits_Interface_Requirements_002

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Interface Requirements	16.06.003		The benefit system must support the benefit external interface function by receiving information from Social Security Administration (SSA) records to verify applicant's name, SSN, and income information, when legally permitted.	Source: JFMIPSR-01-01,pg37; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Interface_Requirements_003
Interface Requirements	16.06.004		The benefit system must support the benefit external interface function by providing features to verify applicant's income against Civil Service Annuity, Military Retirement, and IRS earnings records, when legally permitted.	Source: JFMIPSR-01-01,pg37; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Interface_Requirements_004

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Interface Requirements	16.06.005		The benefit system must allow an interface with central agency financial systems for example, systems that interface with the Department of the Treasury must include the capability to: a) receive and read the deposit reconciliation file from Treasury, and to produce a report of the matched data and differences; b) produce a file of all hold check transactions as they are created, and to periodically transmit the hold check file to Treasury; c) produce and transfer a file of changes to EFT payments previously forwarded to Treasury for release; d) produce and transfer a file of changes to be made to the Home Address file in Treasury; e) receive and process the data on the returned check file sent by Treasury's Recertification system, including generating returned check transactions and updating account data; and f) receive and automatically process an ACH update file (containing changes to EFT information) from Treasury or other sources on a recurring basis.	Source: JFMIPSR-01-01,pg37; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Interface_Requirements_005

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Security/Internal Controls	16.07.001		The benefit system must adhere to the applicable final 'Electronic and Information Technology Accessibility Standards' issued by the Architectural and Transportation Barriers Compliance Board, which address technical and functional performance criteria necessary for such technology to comply with section 508 of the Rehabilitation Act Amendments of 1998.	Source: JFMIPSR-01-01,pg38; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Security_Internal_Controls_001
Security/Internal Controls	16.07.002	D - Authoritative Source/Reference Deleted	DELETED: The benefit system must support the benefit security and internal controls activities by providing flexible security facilities to control user access at varying degrees including: overall system access, capability to perform specific functions (inquiry, update), access to certain functionality.	Source: ; Source Date:	Requirement Not Covered by TFM Guidance		Benefits_Security_Internal_Controls_002
Security/Internal Controls	16.07.003		The benefit system must support the benefit security and internal controls activities by providing the capability to define access to specific functions by named user, class of user, and position.	Source: JFMIPSR-01-01,pg39; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Security_Internal_Controls_003
Security/Internal Controls	16.07.004		The benefit system must support the benefit security and internal controls activities by providing for multiple levels of approvals based on user-defined criteria including dollar limits, type of document processed, etc.	Source: JFMIPSR-01-01,pg39; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Security_Internal_Controls_004

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Security/Internal Controls	16.07.005		The benefit system must support the benefit security and internal controls activities by providing the capability to perform reconciliation routines for internal participant accounts, ledgers, and funds, and to identify unsuccessful reconciliations via error log or error report.	Source: JFMIPSR-01-01,pg39; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Security_Internal_Controls_005
Security/Internal Controls	16.07.006		The benefit system must support the benefit security and internal controls activities by establishing the appropriate administrative, technical and physical safeguards to ensure the security and confidentiality of records and to protect against anticipated threats or hazards to their security or integrity which could result in substantial harm, embarrassment, inconvenience, or unfairness to any individual on whom information is maintained.	Source: JFMIPSR-01-01,pg39; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Security_Internal_Controls_006
Security/Internal Controls	16.07.007	D - Authoritative Source/Reference Deleted	DELETED: The benefit system must support the benefit security and internal controls activities by providing a mechanism to monitor changes to software coding and the responsible individual (authorized user).	Source: ; Source Date:	Requirement Not Covered by TFM Guidance		Benefits_Security_Internal_Controls_007
Security/Internal Controls	16.07.008		The benefit system must support the access to benefit information process by complying with the disclosure provisions of the Privacy Act of 1974 (5 U.S.C. 552A) as amended.	Source: JFMIPSR-01-01,pg39,40; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Benefits_Security_Internal_Controls_008

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Archiving/Purging	16.08.001	C - Authoritative Source/Reference Updated	The benefit system must support the benefit archiving and purging process by providing an automated means for permanently storing electronic data.	Source: DoDFMRVol8,Ch3,Su b0301; Source Date: 4/1/2013	2.3.2.1_Verifying Traceability_P	Verify that GL account balances can be traced to aggregated or discrete transactions in agency programmatic systems and that the aggregated or discrete transactions can be traced to the point of entry and source documents consistent with the TFM.	Benefits_Archiving_And_Purging_001
Archiving/Purging	16.08.002	C - Authoritative Source/Reference Updated	The benefit system must support the benefit archiving and purging process by archiving transactions and related information needed for audit trails in a format accessible by audit software.	Source: DoDFMRVol8,Ch1,Su b0102; Source Date: 5/1/2013 Source: DoDFMRVol8,Ch3,Su b0301; Source Date: 4/1/2013	2.3.2.1_Verifying Traceability_P	Verify that GL account balances can be traced to aggregated or discrete transactions in agency programmatic systems and that the aggregated or discrete transactions can be traced to the point of entry and source documents consistent with the TFM.	Benefits_Archiving_And_Purging_002
Archiving/Purging	16.08.003	C - Authoritative Source/Reference Updated	The benefit system must support the benefit archiving and purging process by providing the authorized system administrator the flexibility to determine whether records are to be archived or purged. Of those documents that meet the criteria the system must include the capability to establish and maintain user defined archival criteria.	Source: DoDFMRVol8,Ch3,Su b0301; Source Date: 4/1/2013	2.3.2.1_Verifying Traceability_P	Verify that GL account balances can be traced to aggregated or discrete transactions in agency programmatic systems and that the aggregated or discrete transactions can be traced to the point of entry and source documents consistent with the TFM.	Benefits_Archiving_And_Purging_003

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Archiving/Purging	16.08.004	C - Authoritative Source/Reference Updated	The benefit system must support the benefit archiving and purging process by retaining system records in accordance with Federal regulations established by the National Archives and Records Administration (NARA), U.S. Government Accountability Office, and others.	Source: DoDFMRVol8,Ch3,Su b0301; Source Date: 4/1/2013	2.3.2.1_Verifying Traceability_P	Verify that GL account balances can be traced to aggregated or discrete transactions in agency programmatic systems and that the aggregated or discrete transactions can be traced to the point of entry and source documents consistent with the TFM.	Benefits_Archiving_And_Purging_004
Archiving/Purging	16.08.005	C - Authoritative Source/Reference Updated	The benefit system must prevent the purging of transactions prior to the proper period in accordance with regulations governing the retention of documents and transactions.	Source: DoDFMRVol8,Ch3,Su b0301; Source Date: 4/1/2013	2.3.2.1_Verifying Traceability_P	Verify that GL account balances can be traced to aggregated or discrete transactions in agency programmatic systems and that the aggregated or discrete transactions can be traced to the point of entry and source documents consistent with the TFM.	Benefits_Archiving_And_Purging_005
Systems Used to Administer Means Testing Programs	16.09.001		The benefit system must capture claimant wages, salaries, and other income that is considered in determining eligibility and or benefit amounts if the system is used in administering means tested programs.	Source: JFMIPSR-01-01,pg43; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Means_Testing_Program_Administration_Systems_001
Systems Used to Administer Means Testing Programs	16.09.002		The benefit system must capture types and amounts of assets owned by the claimant, which are considered in determining eligibility and or benefit amounts, if the system is used in administering means tested programs.	Source: JFMIPSR-01-01,pg43; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Means_Testing_Program_Administration_Systems_002

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Systems Used to Administer Means Testing Programs	16.09.003		The benefit system must capture gender of claimant (to facilitate verifying claimant's identity).	Source: JFMIPSR-01-01,pg43; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Means_Testing_Program_Administration_Systems_003
Federally Administered Retirement Systems	16.10.001		The benefit system must capture the effective date of retirement if the system is a Federally administered retirement system.	Source: JFMIPSR-01-01,pg43; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Federally_Administered_Retirement_Systems_001
Federally Administered Retirement Systems	16.10.002		The benefit system must capture claim holder and or claimant dependency information (e.g., children, parents) if the system is a Federally administered retirement system.	Source: JFMIPSR-01-01,pg43; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Federally_Administered_Retirement_Systems_002
Federally Administered Retirement Systems	16.10.003		The benefit system must capture dates of creditable employment of the claim holder (and military service when used in determining eligibility and the amount of benefits) if the system is a Federally administered retirement system.	Source: JFMIPSR-01-01,pg43; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Federally_Administered_Retirement_Systems_003
Federally Administered Retirement Systems	16.10.004		The benefit system must capture historical earnings data (e.g., previous annual wages and salary) of the claim holder that used required for determining eligibility or the amount of benefit if the system is a Federally administered retirement system.	Source: JFMIPSR-01-01,pg43; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Federally_Administered_Retirement_Systems_004
Federally Administered Retirement Systems	16.10.005		The benefit system must capture total participant and employer contributions to the retirement plan made on behalf of the participant if the system is a Federally administered retirement system.	Source: JFMIPSR-01-01,pg43; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Federally_Administered_Retirement_Systems_005

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Federally Administered Retirement Systems	16.10.006		The benefit system must capture current balance of vested employee and employer contributions if the system is a Federally administered retirement system.	Source: JFMIPSR-01-01,pg44; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Federally_Administered_Retirement_Systems_006
Federally Administered Retirement Systems	16.10.007		The benefit system must capture other names under which the claim holder has used (such as maiden name) if the system is a Federally administered retirement system.	Source: JFMIPSR-01-01,pg44; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Federally_Administered_Retirement_Systems_007
Federally Administered Retirement Systems	16.10.008		The benefit system must capture phone number of claimant if the system is a Federally administered retirement system.	Source: JFMIPSR-01-01,pg44; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Federally_Administered_Retirement_Systems_008
Federally Administered Retirement Systems	16.10.009		The benefit system must capture other unique identifying numbers if the system is a Federally administered retirement system.	Source: JFMIPSR-01-01,pg44; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Federally_Administered_Retirement_Systems_009
Federal Employment Related Retirement System	16.11.001		The benefit system must support the benefits application information store data requirements for Federal employment related retirement by capturing the date of final separation.	Source: JFMIPSR-01-01,pg44; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Federal_Employment_Related_Retirement_Systems_001
Federal Employment Related Retirement System	16.11.002		The benefit system must support the benefits application information store data requirements for Federal employment related retirement by capturing the lump sum (refund of contributions) distributions versus annuity distributions.	Source: JFMIPSR-01-01,pg44; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Federal_Employment_Related_Retirement_Systems_002

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Federal Employment Related Retirement System	16.11.003		The benefit system must support the benefits application information store data requirements for Federal employment related retirement by capturing the annuity selection (e.g., self-only, self and reduced survivor, self and maximum survivor).	Source: JFMIPSR-01-01,pg44; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Federal_Employment_Related_Retirement_Systems_003
Federal Employment Related Retirement System	16.11.004		The benefit system must support the benefits application information store data requirements for Federal employment related retirement by capturing the spouse's name, date of birth, social security number and date of marriage when applicable.	Source: JFMIPSR-01-01,pg44; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Federal_Employment_Related_Retirement_Systems_004
Federal Employment Related Retirement System	16.11.005		The benefit system must support the benefits application information store data requirements for Federal employment related retirement by capturing the present marital status.	Source: JFMIPSR-01-01,pg44; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Federal_Employment_Related_Retirement_Systems_005
Federal Employment Related Retirement System	16.11.006		The benefit system must support the benefits application information store data requirements for Federal employment related retirement by capturing the name, date of birth, social security number and dates of marriage and divorce of former spouses who have a court ordered annuity.	Source: JFMIPSR-01-01,pg44; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Federal_Employment_Related_Retirement_Systems_006
Federal Employment Related Retirement System	16.11.007		The benefit system must support the benefits application information store data requirements for Federal employment related retirement by capturing the percentage or dollar amount of court ordered former spouse annuity.	Source: JFMIPSR-01-01,pg44; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Federal_Employment_Related_Retirement_Systems_007

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Federal Employment Related Retirement System	16.11.008		The benefit system must support the benefits application information store data requirements for Federal employment related retirement by capturing the highest pay scale (grade) level or amount of highest salary and related dates.	Source: JFMIPSR-01-01,pg44; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Federal_Employment_Related_Retirement_Systems_008
Federal Employment Related Retirement System	16.11.009		The benefit system must support the benefits application information store data requirements for Federal employment related retirement by capturing the voluntary separation monetary incentive.	Source: JFMIPSR-01-01,pg44; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Federal_Employment_Related_Retirement_Systems_009
Federal Employment Related Retirement System	16.11.010		The benefit system must round down to the nearest whole dollar when computing the initial gross pay amount and any subsequent adjustments. The benefit system must make subsequent adjustments from the rounded down number.	Source: DoDFMRVol7B,Ch3, Sub0301; Source Date: 3/1/2013	Requirement Not Covered by TFM Guidance		Federal_Employment_Related_Retirement_Systems_010
Disability Benefits	16.12.001		The benefit system must support the benefit applications information data store for systems used in administering disability related programs by capturing the type of disability or disability code.	Source: JFMIPSR-01-01,pg44; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Disability_Benefits_001
Disability Benefits	16.12.002		The benefit system must support the benefit applications information data store for systems used in administering disability related programs by capturing the date of disability onset or injury.	Source: JFMIPSR-01-01,pg44; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Disability_Benefits_002

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Disability Benefits	16.12.003		The benefit system must support the benefit applications information data store for systems used in administering disability related programs by capturing the degree of impairment or diagnosis.	Source: JFMIPSR-01-01,pg44; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Disability_Benefits_003
Disability Benefits	16.12.004		The benefit system must support the benefit applications information data store for systems used in administering disability related programs by capturing the name of examining physician.	Source: JFMIPSR-01-01,pg44; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Disability_Benefits_004
Disability Benefits	16.12.005		The benefit system must support the benefit applications information data store for systems used in administering disability related programs by capturing the claimant's physical location where injury occurred (i.e., at work, home, etc.).	Source: JFMIPSR-01-01,pg44; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Disability_Benefits_005
Disability Benefits	16.12.006		The benefit system must support the benefit applications information data store for systems used in administering disability related programs by capturing whether disability was caused by employment or related to military service.	Source: JFMIPSR-01-01,pg45; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Disability_Benefits_006
Disability Benefits	16.12.007		The benefit system must support the benefit applications information data store for systems used in administering disability related programs by capturing the claimant or dependent(s)' student status (e.g., fulltime, yes or no).	Source: JFMIPSR-01-01,pg45; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Disability_Benefits_007

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Chapter	Req Id	Change Type	Requirement	Sources	Treasury's Federal Financial Management Systems Function Guidance (See Appendix A-5)	Treasury's Federal Financial Management Systems Requirement (See Appendix A-5)	DFMIG Rule Name
Death Benefits	16.13.001		To support the Application Information Store data requirements relative to systems used in administering death benefit programs, the benefit system must capture: a) Claimant's relation to claim holder; b) Dates of creditable employment of the claim holder (and military service when used in determining eligibility and the amount of benefits); c) Claimant dependency information, when such affects the benefit amount; d) Date married, when applicable; e) Date divorced when applicable; f) Date of claim holder death.	Source: JFMIPSR-01-01,pg45; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Death_Benefits_001
Survivor Programs	16.14.003		The benefit system must support the benefit applications information data store for systems used in administering survivor benefit programs by capturing: a) Claimant's relation to claim holder; b) Dates of creditable employment of the claim holder (and military service when used in determining eligibility and the amount of benefits); c) Claim holder or claimant dependency information (e.g. children, parents); d) Date married, when applicable (i.e., claimant is former spouse); e) Date divorced, when applicable (i.e., claimant is former spouse); f) Date of claim holder death; g) Student status (e.g., fulltime, yes or no).	Source: JFMIPSR-01-01,pg45; Source Date: 9/1/2001	Requirement Not Covered by TFM Guidance		Survivor_Programs_003

ACRONYMS

ACH	Automated Clearing House
AGC	Agency Locator Code
CDO	Chief Disbursing Officer
DCA	Debt Collection Act
DFAS	Defense Finance and Accounting Service
DoD	Department of Defense
DoDFMR	DoD Financial Management Regulation
EFT	Electronic Funds Transfer
FASAB	Federal Accounting Standards Advisory Board
FFMIA	Federal Financial Management Improvement Act
FMS	Financial Management Service
IRS	Internal Revenue Service
NARA	National Archives and Records Administration
OMB	Office of Management and Budget
OPEB	Other Post-Employment Benefits
ORB	Other Retirement Benefits
SFFAS	Statement of Federal Financial Accounting Standards
SGL	Standard General Ledger
SR	System Requirements
SSA	Social Security Administration
SSN	Social Security Number
TAFS	Treasury Appropriation Fund Symbol
TIN	Taxpayer Identification Number
TOP	Treasury Offset Program
USSGL	United States Standard General Ledger